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Microscopic Imaging of Red Cell Aggregation with Photoacoustic Technique

Photoacoustic Imaging is a hybrid modality of optical contrast and acoustic resolution of a qualitative leap and a new technology for imaging the micro-structure of the blood. The infections and cardiovascular diseases were the main cause of intravascular RBCs aggregations. In this study, the modern detection of RBCs aggregation can be obtained by the combination of photoacoustic technology with artificial intelligence technology. The power of the photoacoustic signal was measured to detect RBCs aggregation that's indicate to ESR value. Analysis of RBCs microscope images by threshold algorithm detects the ratio of red color to other colors or ratio of RBCs to the background (gray level). Thus the evaluation of the RBCs aggregation level is done, which indicates to Erythrocyte Sedimentation Rate (ESR) level. The photoacoustic technique takes about 10 minutes compared to a manual ESR test which takes an hour, also early detects and predicts cardiovascular disorders in future.

Keywords: Artificial Intelligent; Blood Image; Image segmentation; Photoacoustic Microscopic
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1. Introduction

Photoacoustic (PA) imaging is one of the latest technologies in the field of examining and monitoring red blood cells, and knowledge of the functional and morphological (structural) characteristics of those cells by exposing them to the laser Nd:YAG 1064 nm at several minutes [1]. When the ultrasound signals resulting from the absorption of laser energy by the red blood cells, were received at the spectral frequencies through transducer 5Mhz [2]. Also The photoacoustic microscope with artificial intelligence plays a major role in analyzing data and images of RBCs aggregation accurately [3]. The artificial intelligence has begun to exist in several medical fields as a sophisticated technology for deep and rapid analysis, as well as obtaining better results by software algorithms for medical examinations [4]. The threshold algorithm was employed to analyze the PA microscopic images to investigate and predicate the number of clusters, the size level of clusters, clusters density, near the cluster to each other of high or low-density regions, for detection the ESR value (3 -15 mm/hr) [5].

Several studies have been introduced to detect the RBCs aggregation in the blood by using an acousto-optic imaging technique [6], and other introduced procedure entails classifying and segmenting a single RBC image after resizing it to determine the cell's minimum and maximum radius [7], the clusters of red blood cells act as a point to ESR level which was an indicator to detect the type of diseases such as rheumatoid arthritis, inflammations and infection [8].

2. Material and Method

2.1 Prepare the samples and radiation

Blood samples are collected and prepared from 60 subjects and kept in EDTA K3 tubes at room temperature (20° C), then measure the manual ESR value of each blood sample over a one-hour time period [9]. Put the blood sample inside a cubic quartz cuvette (1x1x4 cm³), then radiate the sample with a laser beam (Nd:YAG 1064nm) with a rate of 6 pulses per second and an energy capacity of 20 mJ at the top area of the cuvette, and receive the photoacoustic signal using transducer 5MHz. For the photoacoustic imaging, uses the optical microscope to assess the ESR value by detecting the RBCs aggregation level of the samples, through performing blood smear on the glass slides, they were examined using a CCD camera attached to the eyepiece microscope, as shown in Fig (1), then the images are processed and analyzed to estimate the RBCs aggregation using threshold algorithms.

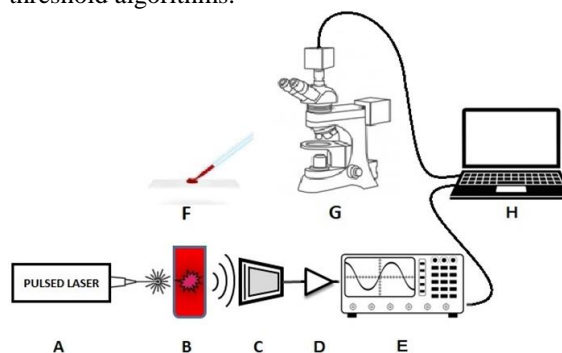


Fig. (1) Schematic photoacoustic system: (A) Nd:YAG laser 1064nm, (B) Cubic quartz cuvette, (C) Transducer, (D) Received circuit (amplifier), (E) Oscilloscope, (F) Glass slide, (G) Optical microscope with CCD camera, (H) laptop.

2.2 Photoacoustic signal measurement

The power of photoacoustic signal estimated by ultrasound transducer at 5MHz then amplified the signal via a received circuit, and analyze the power of PA signal to detect the RBCs aggregation. The RBCs aggregation level indicate to the energy absorption ability that generate different ultrasound waves caused the various of power of photoacoustic signals.

2.3 Microscopic images for photoacoustic technique

The images of blood smears provided by a CCD camera (Genex Ltd. - 5mm) attached with the optical microscope (GENEX – OPTIK 20) and the magnification was 40X. The components of photoacoustic microscope system matching with methods of artificial intelligence technology to process the image of RBCs aggregation images, as shown in Fig (2).

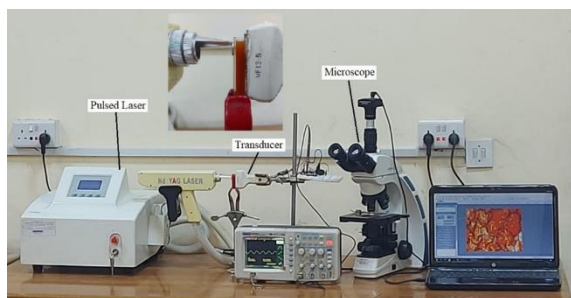


Fig. (2) Photoacoustic components: Nd:YAG laser, cubic cuvette, transducer, received circuit, oscilloscope, optical microscope and laptop

2.3 Image analysis algorithms

The image segmentation algorithm is considered one of the common and modern techniques for microscopy image analysis and digital image processing [10]. Image analysis algorithm based on the pixels of images, after collecting the images through an optical microscope and storing, then the sequence algorithms are used in bioinformatics for cluster analysis of RBCs aggregation. The image segmentation concludes separate the region of interest (ROI) from the background, several algorithms involved the auto-threshold segmentation technique [11].

Threshold Algorithms:

Thresholding is one of the simple algorithms for image segmentation, it is characterized by its speed. This method assumes that the images consist of different areas of gray levels and color levels. The histogram consists of peaks values that represent the color densities of the images [12]. The pixels of colors of the boundary object are divided into two densities. The first act as an object (ROI) which is higher or equal to or less than the second-pixel

density, which represents the background. This is proved by selecting the appropriate threshold value, to determine those differences after converting the pixels to black and white when converting the image to binary, or by selecting one color which was sensitive to the algorithm [13]. Several methods exist for image segmentation, depending on the difference between white and black color as a basis for the work, or relying on the density of the gray color [14].

The current study shows the detection of the apparent and clustering areas of RBCs by algorithms depending on the color difference in the image by two groups of segmentation techniques as shown in Fig (3). It proposing a method which segment and identify varied RBCs in blood smear images which is agree with S. Rahman [15].

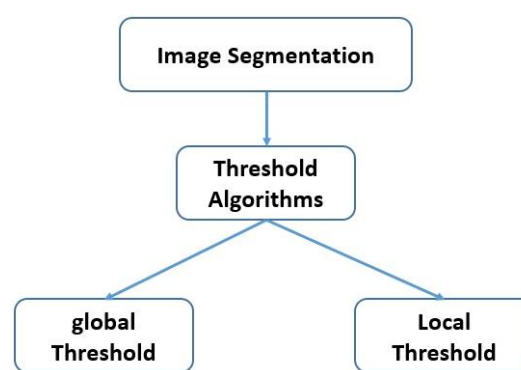


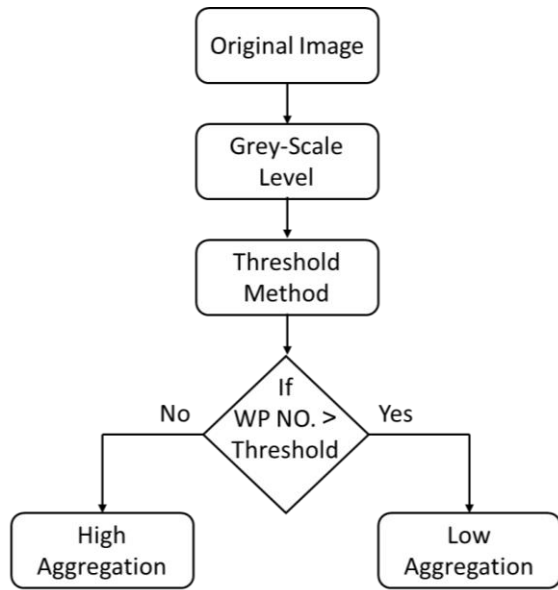
Fig. (3) Threshold algorithm techniques

The Common Threshold Algorithms are:

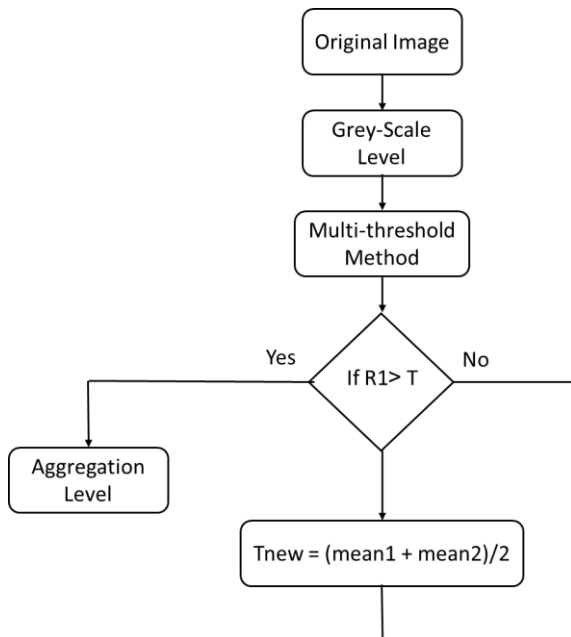
1- A global threshold technique: This algorithm depends on using only one threshold value to segment each image [16].

2- Local threshold technique (multi-threshold): This is based on the conversion of the color image into binary image mode. In the local threshold technique, the unique threshold values for the partitioned sub-images are obtained from the whole image. Commonly, the whole image pixels are scanned to classify the pixels into object or the background, based on the gray-level value compared to the threshold function [17]. The steps of the threshold algorithms are explained in the flowcharts as shown in Fig (4).

The object and background pixels in the global are represented as two groups of gray levels acting as the two main modes, white pixels (WP) and black pixels (BP). While the obvious method in the multi-threshold distinguish the object (R1) from the background by selecting a threshold T then Tnew, that separates color modes of image intensity between 0 to 255 color brightness as shown in Fig (5).



(a)



(b)

Fig. (4) Flowcharts of (a) global threshold segmentation algorithm, and (b) local (multi) threshold technique

The image which contains multi-regions can be segmented by using multi-threshold algorithm, in multi-regions, it is possible to model the images by multi-threshold algorithm [18]. The histogram modes become more difficult to identify as the number of regions increase, and threshold selection becomes more complicated [16].

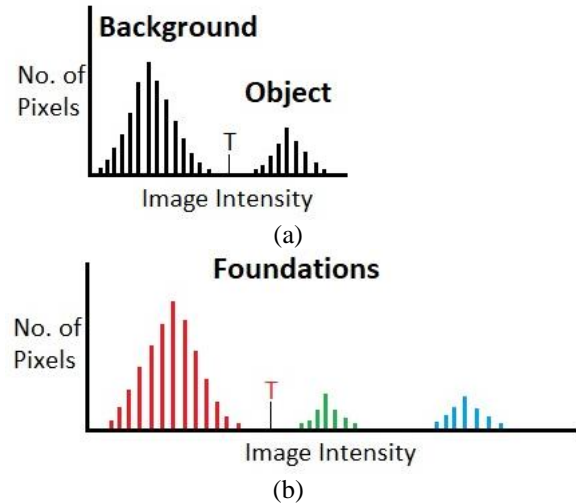


Fig. (5) The histogram of threshold algorithms: (a) global threshold, and (b) local threshold

3. Results and Discussion

The mean power of photoacoustic amplitude signals were obtained from (60) blood samples then measured the standard deviation (SD) to each sample, the results demonstrated that the power spectrum related to the size of the observer gradually increased as the level of aggregation increased; the mean power spectrum values at a hematocrit of 40% for RBCs suspensions (1.1 to 22 dB) at low RBCs aggregation and high RBCs aggregation respectively. The validity of the photoacoustic accuracy to detect the aggregation level manifested through the comparison of PA signal analysis with the manual ESR test results are shown in Fig (6).

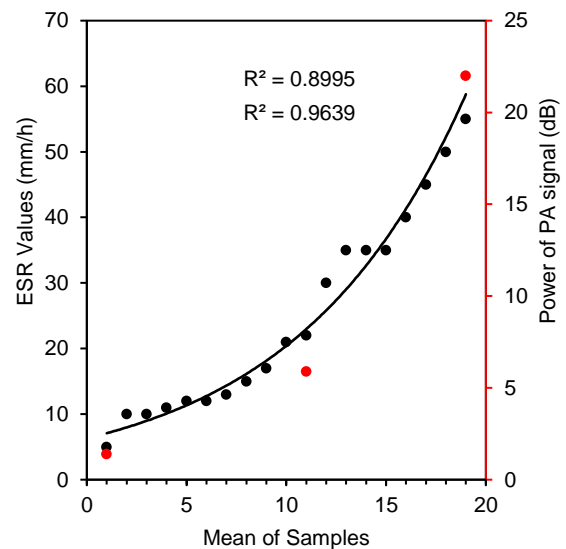


Fig. (6) Chart comparison the power of PA signal and manual ESR values

The analysis of the photoacoustic microscopic images by global threshold is a computationally simple and fast method because it was a single threshold T value that compares between the background and the object as shown in Fig (7).

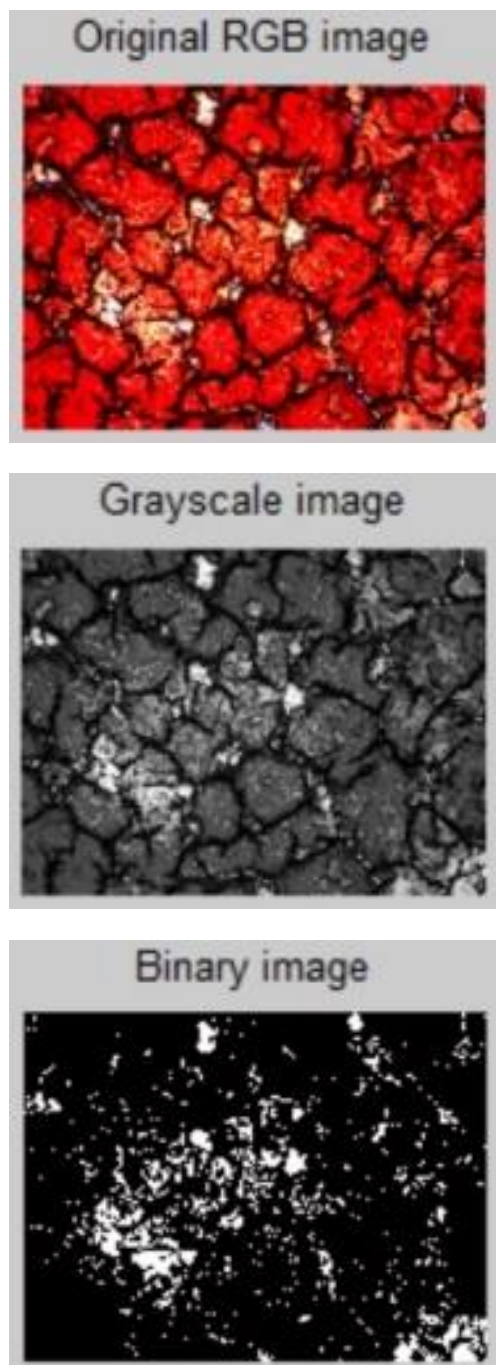


Fig. (7) Detect RBCs aggregation by using a global threshold algorithm

The histogram of the global threshold distinguishes the object (as a dark color) from the background (as a light color) in the image as shown in Fig (8)

The local multi-threshold algorithm was more flexible for detecting the red color pixels when the different red levels were found by the multi-threshold value levels Red- Green- Blue (RGB) colors for each image range from 0 to 255 color brightness as shown in Fig (9).

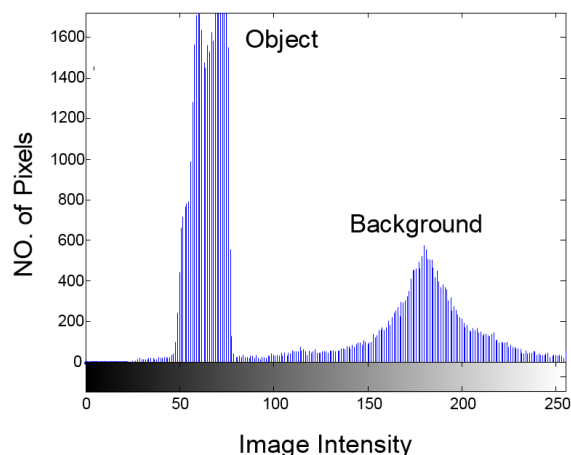
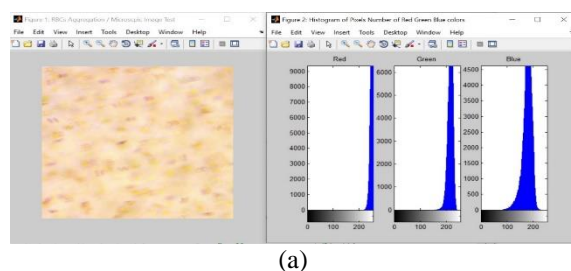
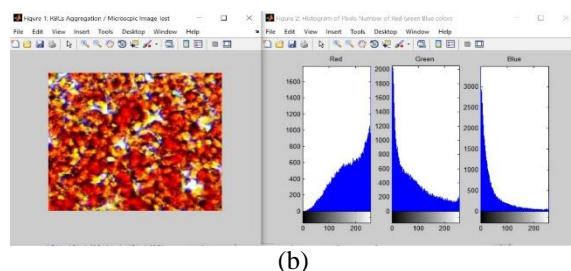


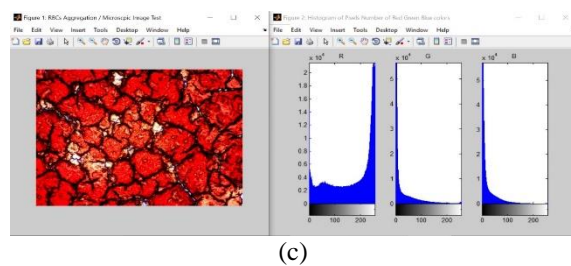
Fig. (8) Histogram of global threshold algorithm



(a)



(b)



(c)

Fig. (9) Detection the colors level of microscopic image by using multi threshold algorithm and histogram (a) Low red color density in the image (483x466 pixels), (b) Increase red color density in the image (362x317 pixels), (c) High red color density scale in the image (1280x916 pixels)

The mean and the ratio of red pixels were higher than those of the other colors pixels within the (RGB) image, that indicates to the high RBCs aggregation and it means an increase in ESR value, while a decrease in the red pixels means reducing the ESR value, as shown in Fig (10).

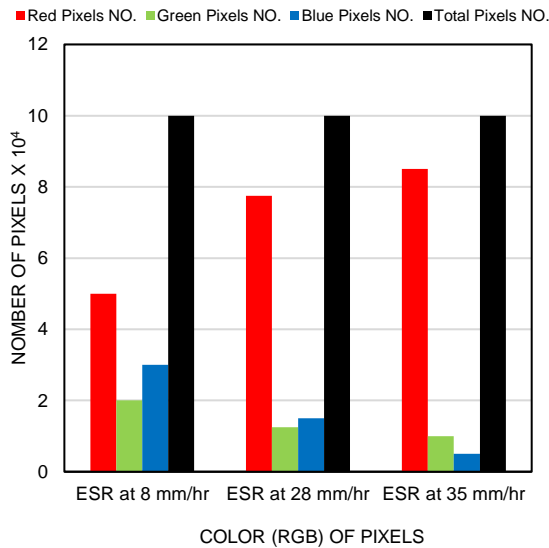


Fig. (10) Relation between ESR value and color pixels number of image

The validity of photoacoustic imaging results to detect the RBCs aggregation level with respect to the manual ESR test can be described in a comparison shown in Fig (11)

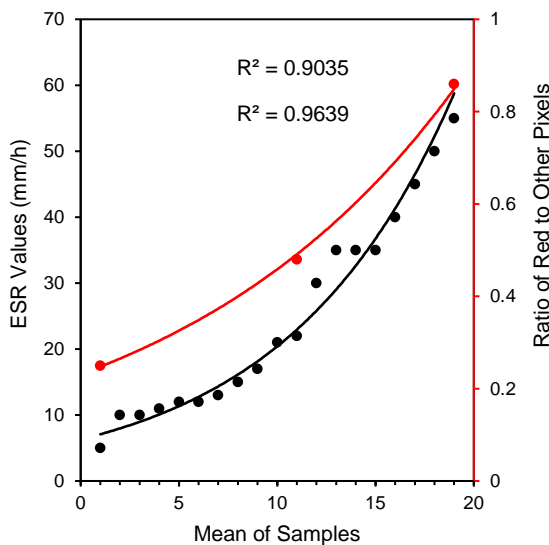


Fig. (11) Chart comparison (ratio red to other pixels) and manual ESR values

As shown in the results of photoacoustic signal and imaging figures (6) and (11), respectively, the accuracy of detecting the ESR value is acceptable when compared with manual ESR test as shown in table (1).

4. Conclusion

The analysis of photoacoustic (PA) signal and RBCs images by the photoacoustic technique is consider to enhance the functional and structural of photoacoustic system, which evaluate the power of

PA signal and analysis the RBCs images into the color pixels and gray level pixels and detect the ratio of red color to other colors and evaluate the level RBCs aggregation, which points to Erythrocyte Sedimentation Rate (ESR) level. Finally, the photoacoustic technique is able to detect the erythrocytes aggregation quickly and accurately, this is an important advantage in the medical laboratory tests.

Table (1) The level of RBCs aggregation in the manual ESR test, the power of photoacoustic signals analysis and photoacoustic imaging analysis, with the time they take.

Test	Manual ESR method	Power of the photoacoustic signal analysis	Photoacoustic imaging analysis
RBCs aggregation	96%	90%	90%
Time	1 hour or 2 hours	10 minutes	10 minutes

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Highly-Pure Nanostructured Metal Oxide Multilayer Structure Prepared by DC Reactive Magnetron Sputtering Technique

In this work, metal oxides nanostructures, mainly, copper oxide (CuO), nickel oxide (NiO), titanium dioxide (TiO₂), and multilayer structure were synthesized by dc reactive magnetron sputtering technique. The structural purity and nanoparticle size of the prepared nanostructures were determined. The individual metal oxide samples (CuO, NiO and TiO₂) showed high structural purity and minimum particle sizes of 34, 44, 61 nm, respectively. As well, the multilayer structure showed high structural purity as no elements or compounds other than the three oxides were found in the final sample while the minimum particle size was 18 nm. This reduction in nanoparticle size can be considered as an advantage for the dc reactive magnetron sputtering technique when metal oxide multilayer structures are prepared.

Keywords: Nanostructures; Metal oxides; Reactive sputtering; Structural characterization
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1. Introduction

Metal oxides are a rich family of materials that have served many research areas, from colossal magnetoresistance to multiferroicity and from catalysts to wearable devices [1]. Mostly, metal oxides have many advantages like switching time, color variation, good stability, reliability, etc. These oxides are capable of redox reactions that result in color change [2]. The properties of such materials make the transition metal oxides highly desired in energy applications [3]. Oxide materials in bulk and thin film forms, as well as metal oxide nanostructures, exhibit a great variety of functional properties [4].

Nanostructured metal oxides with unique optical, electrical and molecular properties along with desired functionalities and surface charge properties provide interesting platforms [5]. By the control of size, structure, composition and morphology, nanostructured metal oxides can possess novel optical, electronic, magnetic, and/or mechanical properties that are not provided by bulk forms [1,4].

Synthesis and preparation of metal oxide nanostructures are the first and main step to control their properties. Therefore, over more than three decades, methods and techniques for synthesis and preparation of these nanostructures have been designed, employed and optimized [6-8]. Two main classifications are currently known; physical vapor deposition (PVD) and chemical vapor deposition (CVD). Among PVD methods and techniques, dc reactive sputtering has provided many advantages over the others. Sputtering was first observed in 1852 using a dc gas discharge tube by Grove [9,10]. In

general, PVD is atomistic deposition process in which the material is vaporized from particle source in the form of atoms or molecules, transported in the form of a vapor through a low pressure gaseous (or plasma) environment to the substrate where it condenses [11]. As the prepared film thickness is required to be highly controlled, magnetron sputtering technique makes a good solution in addition to the high deposition rates and low substrate heating. Also, the optimization of magnetron sputtering parameters, such as substrate temperature, sputtering power, inter-electrode distance, total gas pressure and reactive gas pressure, can be considered to obtain thin films with excellent physical properties [12,13]. This sputtering process basically involves the creation of plasma by applying voltage between the target and the substrate, the target being used as the cathode and the substrate being used as the anode [14]. The molecules are formed before reaching the substrate surface [15].

The sputtered target can be an elemental, alloy, mixture, or compound and the material is vaporized retaining the composition of the bulky target [16]. In reactive sputtering, compound thin films are deposited in the presence of a reactive gas. The reactive gas reacts with the sputtered material and forms a compound. This process makes it possible to deposit a wide variety of compounds (oxides, nitrides, carbides, etc.) with a wide range of properties [17,18]. Figure (1) illustrates the reactive sputtering process. In a magnetron sputtering, the high electric field arising from the cathode fall potential accelerates secondary electrons in a direction normal to the target surface [19].

The principal advantage of the magnetron sputtering configuration is that a dense plasma can be formed near the cathode at low pressures [20]. Also, due to the advantages of magnetron sputtering such as preparation of high quality defect-free films, high deposition rate, easy control of elemental composition and structure of the growing film, it is widely used in various industrial applications [21].

2. Experimental Part

A homemade dc reactive magnetron sputtering system schematically shown in Fig. (1) was used in this work to prepare metal oxide thin films and multilayer structure on glass substrates. Highly-pure (99.99%) sheets of copper (Cu), nickel (Ni) and titanium (Ti) were used as sputtering targets in presence of oxygen to deposit CuO, NiO and TiO₂ thin films as well as multilayer structure from these three compounds on glass substrates.



Fig. (1) A photograph of the dc reactive magnetron sputtering system used in this work

The targets were cleaned and dried for the deposition process. The glass substrates used for deposition of the thin films and multilayer structure were initially cleaned before the experiments. The target was maintained carefully on the cathode. The plasma required for sputtering was generated by the electric discharge of argon. Electrical power was provided by a high-voltage dc power supply (up to 5kV). The operation conditions of the system were divided into two groups; constant and variable. The constant operation conditions include vacuum pressure, current-limiting resistance, discharge voltage, discharge current, deposition temperature, inter-electrode distance and gas flow rate, and gas mixing ratio. The variable operation conditions were reduced to the deposition time of 1, 1:30, 2, 2:30 and 3 hours. The multilayer structure was deposited at different deposition times, first layer was CuO for 1 hour, second layer was NiO for 1:30 hours and the last layer was TiO₂ for 2 hours.

Many experiments were performed to determine the optimum working pressure as well as the optimum mixing ratio of Ar and O₂ gases. Also, the optimum

inter-electrode distance was determined between 1 to 10 cm and from the experiments results, 4 cm has been specified as the optimum inter-electrode distance. The optimum discharge current was determined according to the stability of the discharge plasma. The deposition chamber was initially evacuated down to 0.001 mbar and then filled with gas mixture of argon and oxygen with mixing ratio of 50:50. The pressure of gas mixture was about 0.15 mbar and the discharge current was 40 mA. The discharge voltage was maintained at 3.5 kV. The two electrodes could be cooled by circulating water from chiller into cooling channels inside both electrodes. The cathode was cooled to prevent the secondary electron emission, which consumes an amount of discharge power, while the anode was not cooled to support the adhesion of metal oxide film to the glass substrate. More details on this sputtering system can be found elsewhere [22-25].

The nanopowders were extracted from thin film samples and multilayer structure by a novel technique known as conjunctional freezing-assisted ultrasonic extraction to extract nanopowders from thin films deposited by PVD methods on non-metallic substrates [26,27].

The structural characteristics of the prepared thin films were determined by x-ray diffraction (XRD), field-emission scanning electron microscopy (FE-SEM), and energy dispersive x-ray spectroscopy (EDS).

3. Results and Discussion

Figure (2) shows the XRD patterns of CuO, NiO, TiO₂ thin films and multilayer structure prepared in this work in order to study the structural properties. It is clear that all samples exhibit high structural purity as no peaks belonging to other materials than CuO, NiO and TiO₂ were observed.

In the XRD pattern of CuO sample, the peak observed at 38.7° and 61.5° were indexed as (111) and (220) crystal planes, which are attributed to the formation of Cu₂O, while the peaks observed at 32.5°, 35.5°, 46.2°, 48.7°, 53.4°, 58.3°, 66.2°, 72.3° and 75°, those corresponding to (-110), (002), (-112), (-202), (202), (-311), (311) and (400) crystal planes, are matched with the values of monoclinic CuO phase [28]. Therefore, the transformation from CuO to Cu₂O phase was confirmed. This transformation can be attributed to the thermal effect caused by increasing the temperature of anode on which the glass substrate is placed. This temperature was measured to reach 80 °C during the deposition process using a thermocouple on the anode surface. Cooling the anode did not prevent the formation of Cu₂O phase, however, its peak intensities were lowered.

The XRD pattern of NiO sample is shown in Fig. (2b). Five distinct diffraction peaks can be seen at 37.35°, 43.38°, 62.9°, 75.46° and 79.42°, those correspond to (111), (200), (220), (311) and (222)

crystal planes. This result has confirmed the formation of polycrystalline NiO compound according to the JCPDS Card No. 73-1519 [23].

The XRD pattern of TiO₂ sample showed that the sample contains of both anatase and rutile phases (mixed-phase) (Fig. 2c). The diffraction peaks at 25.2°, 38.19°, 48.2°, 54.0°, 62.8°, 68.8° and 70.4°, corresponding to (101), (004), (200), (105), (118), (116) and (220) crystal planes, are assigned for the anatase (A) phase. Similarly, the diffraction peaks at 27.6°, 36.2°, 41.4°, 44.2°, 54.4°, 56.7° and 64.1°, corresponding to crystal planes of (110), (101), (111), (210), (211), (220) and (310), are assigned to the rutile (R) phase according to the JCPDS Card No. 88-1175 [29]. The formation of rutile phase is a consequence of thermally induced transformation of the metastable anatase phase into stable rutile phase. Such transformation is unavoidable within the range of anode's temperature range. However, this transformation can be reasonably reduced by cooling and using of appropriate heat sink during deposition process [30-33].

The XRD pattern of the multilayer structure prepared from CuO/NiO/TiO₂ thin films deposited on glass substrate. All peaks observed in this pattern are belonging to CuO, Cu₂O, NiO and TiO₂ compounds only, as shown in Fig. (2d). No peaks belonging to other materials were observed. In this pattern, seven diffraction peaks of CuO, seven diffraction peaks of TiO₂, four diffraction peaks of NiO, and only one diffraction peak of Cu₂O were observed. This result initially highlights the structural purity of the prepared multilayer structure as the thin films were sequentially deposited. This can be an important advantage of the dc reactive sputtering system used in this work.

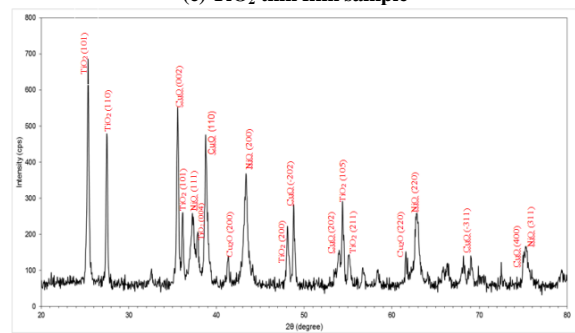
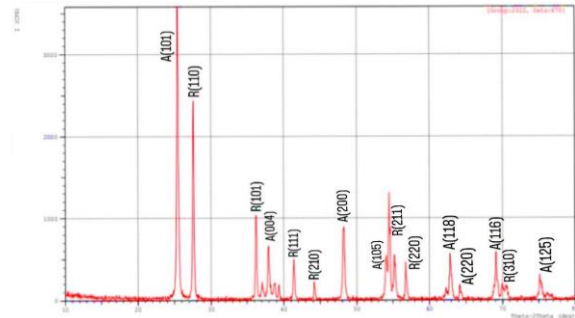
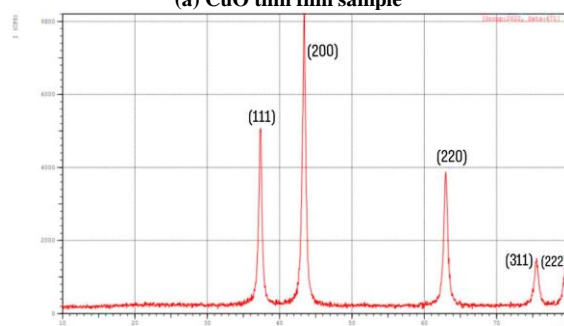
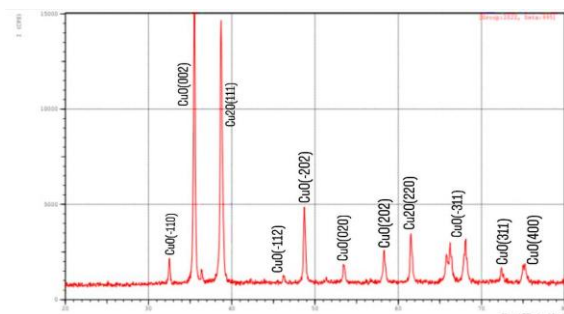
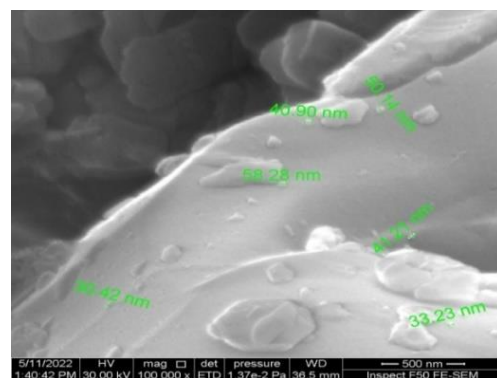
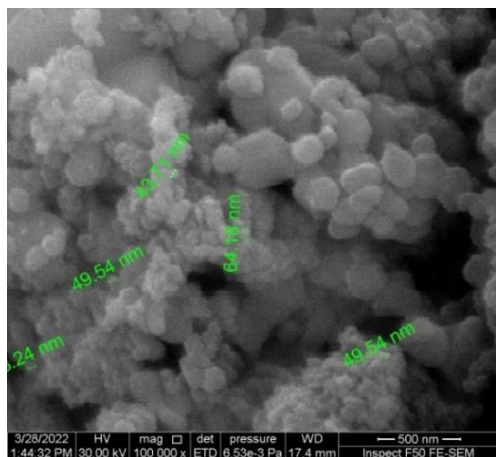


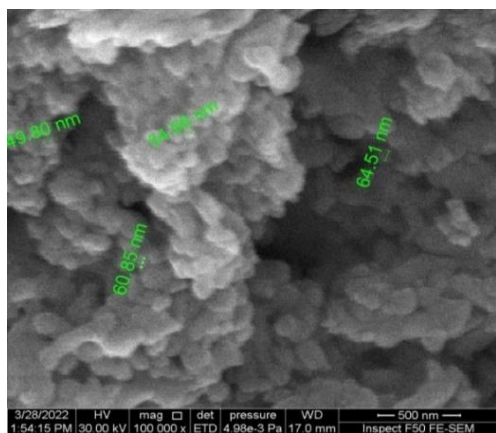
Fig. (2) XRD patterns of thin film samples prepared in this work (a) CuO thin film (b) NiO thin film, (c) TiO₂ thin films (d) multilayer structure

The surface profile and particle size of the prepared thin film samples were determined by field-effect scanning electron microscopy (FE-SEM) as shown in Fig. (3). The CuO sample showed an agglomerated surface with minimum particle size of about 34 nm, as shown in Fig. (3a). The deposition process causes the Cu atoms to have enough activation energy, so that the CuO thin films have a higher densification, a larger particle size, and a greater roughness. The NiO sample showed much uniform profile than CuO sample with minimum particle size of 44 nm, as shown in Fig. (3b). As well, the TiO₂ sample showed similar profile as NiO sample with minimum particle size of 61 nm, as shown in Fig. (3c). The observation of some large nanoparticles may be attributed to the fact that nanoparticles have the tendency to agglomerated due to their high surface energy and high surface tension of the ultrafine nanoparticles.

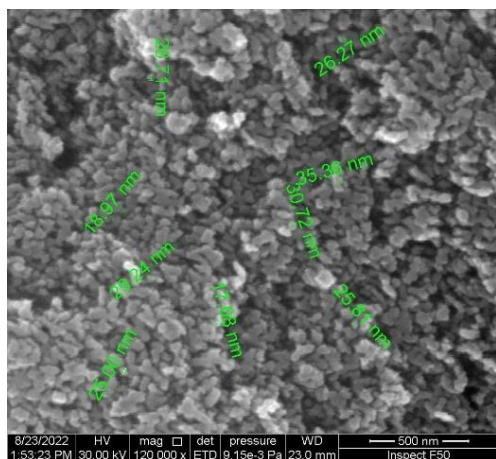




(b) NiO thin film sample



(c) TiO_2 thin film sample



(d) Multilayer structure

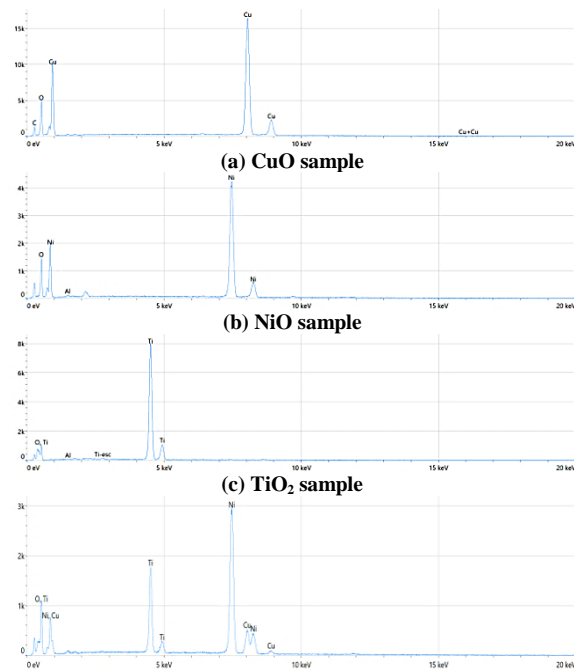
Fig. (3) The FE-SEM images of the (a) CuO sample, (b) NiO sample, (c) TiO₂ sample and (d) multilayer structure prepared in this work using 50:50 gas mixture

The FE-SEM image of the ternary multilayer sample shown in Fig. (3d) confirmed the reasonable homogeneous profile with minimum particle size of 18 nm. It is clear that the particle size was decreased when compared to the single thin films because of controlling the operation parameters and preparation conditions, especially the deposition time. Therefore, some advantages can be provided by the multilayer structure to dominate some disadvantages of single compound films. Some practical applications have

invested such multilayer structures and their advantages, such as optical filters, heterojunction devices and tracing and marking devices [34,35].

In order to confirm the high structural purity of the prepared samples, the EDX spectra were recorded for CuO, NiO, TiO₂ and multilayer samples, as shown in Fig. (4). Each single thin film sample showed high structural purity as no other elements than the metal and oxygen were detected. Furthermore, the three metallic elements (Cu, Ni and Ti) and oxygen were the only constituents of the multilayer sample. The differences in peak intensities can be attributed to the electronegativity of metallic elements and their tendencies to chemical bonding with oxygen during deposition process. Again, this may confirm the advantages of dc reactive sputtering system used in this work to prepare nanostructured thin films of high quality for many applications those are based on the high structural purity of the functional materials [36,37].

The FE-SEM/EDX mapping for ternary structure revealed that the main elements in the final product are copper, nickel, titanium and oxygen, as shown in Fig. (5a). The green points refer to O, as shown in Fig. (5b), the red points refer to Cu, as shown in Fig. (5c), the pink points refer to Ni, as shown in Fig. (5d), and the violet points refer to Ti, as shown in Fig. (5e).



(d) Multilayer structure

Element	Atomic %	Weight %
O	51.1	22.8
Ti	11.4	15.2
Ni	32.0	52.3
Cu	5.5	9.7

(e) Table of weight ratio

Fig. (4) Results of EDX of the (a) CuO sample, (b) NiO sample, (c) TiO₂ sample and (d) structure prepared in this work using 50:50 gas mixture, and (e) table of atomic and weight ratios

Element	Atomic %	Weight %
O	51.1	22.8
Ti	11.4	15.2
Ni	32.0	52.3
Cu	5.5	9.7

(e) Table of weight ratio

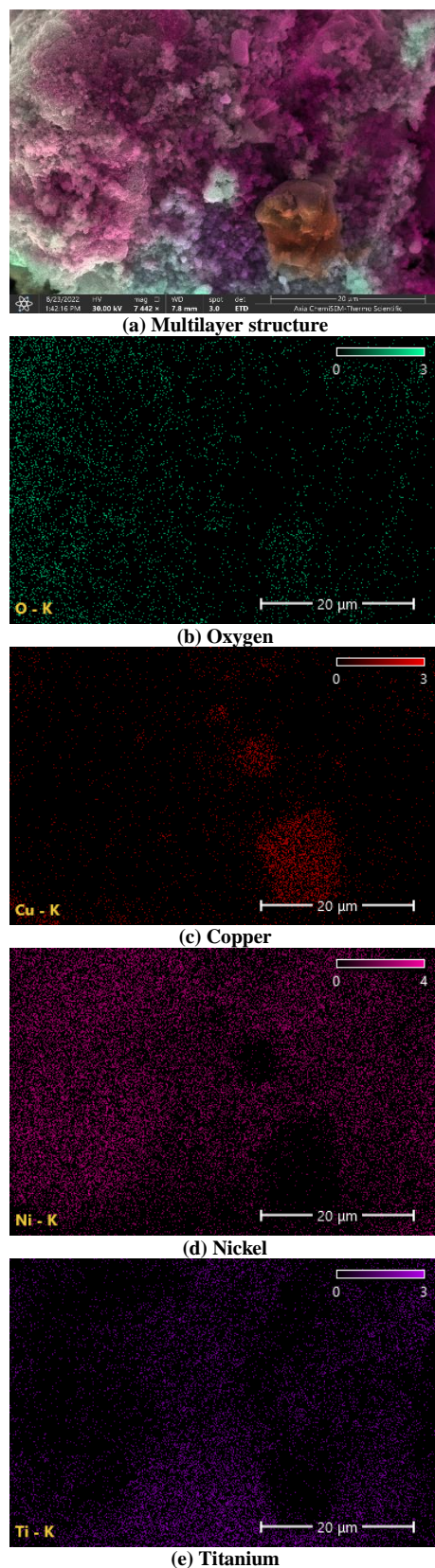


Fig. (5) FE-SEM/EDX mapping results of (a) multilayer structure, (b) green pattern refers to O, (c) red pattern refers to Cu, (d) pink pattern refers to Ni, and (e) violet pattern refers to Ti

According to the color distribution ratio in the multilayer sample, the availability of oxygen (O) is

the highest (51.1 atomic %) and this is obvious as the oxygen exists in all three compounds forming the multilayer structure. The availability of nickel (Ni) is 32.0 atomic %, the availability of titanium (Ti) is 11.4 atomic %, and finally, the availability of copper (Cu) is the lowest (5.5 atomic %). These differences may be attributed to the density of each layer in the final sample as the film thicknesses were not the same. However, the elemental constitution of a multilayer structure can be reasonably controlled to satisfy the requirements of certain applications.

4. Conclusion

In concluding remarks, metal oxide nanostructures with high structural purity were prepared by dc reactive magnetron sputtering technique. As well, a multilayer structures were prepared from these metal oxide nanostructures. These multilayer structures were highly-pure as no other elements than copper, nickel, titanium and oxygen were found in the final sample. Also, no compounds other than copper oxide, nickel oxide and titanium dioxide were found in the final sample. Such multilayer structures can be successfully employed in many practical applications those based on the structural purity of the functional materials.

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Ferrohydrodynamic Instability of a Couple Stress Magnetic Fluid Layer Under the Influence of Time-Dependent Sinusoidal Magnetic Field

The commencement of convective motion in a horizontal couple-stress ferrofluid exposed to magnetic field modulation has been examined. Making use of isothermal boundary condition, the subsequent eigenvalue problem is attacked by adopting regular perturbation technique with minimum modulation amplitude. Thermal Rayleigh number correction is determined by the modulation frequency, magnetic force, couple-stress parameter and Prandtl number. The impact of various physical factors is perceived to be significant at intermediate magnetic field modulation frequency. It is found that by fine tuning the modulated magnetic frequency, we could either speed up or slow down the commencement of ferroconvection. The problem sheds some light on ferromagnetic fluid applications with a time-varying magnetic field.

Keywords: Ferromagnetic fluid; Magnetic field modulation; Perturbation technique; Stability
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1. Introduction

Ferromagnetic liquids are a type of smart liquid magnetized by magnetic fields and are made by dissolving microscopic magnetic (iron-Fe, cobalt-Co, nickel-Ni, etc.) granules in a non-magnetic liquid transporter (ester, petrol, hydrocarbons, etc.) and wrapping these granules in a surfactant-like organic solution to prevent granule aggregation in the presence of a magnetic field. Many researchers and technologists, however, are fascinated by colloidal magnetite (Fe_3O_4), the most thoroughly studied ferrofluid, due to its diverse applications in thermal engineering, bio-medical, and aerospace [1-3]. The notion of ferroconvection to thermal expansion in a layer enclosing ferrofluid is comparable to the conventional Bénard convection and has sparked considerable interest in the literature due to its potential value as a heat exchanger.

Finlayson first described how an advection of magnetic liquid with variability in magnetic susceptibility yields a non-uniformity in magnetic body force, resulting in thermomagnetic convection [4]. Many researchers, drawing sufficient inspiration from the work of Finlayson, have examined the ferroconvective instability problem under a variety of handy constraints [5-10]. More recently, it has been revealed by means of the higher order Galerkin technique that the influence of MFD viscosity on ferroconvection in a porous medium subjected to varying gravity field delays the onset of ferroconvection [11].

Modulation of an appropriate parameter may have significant effects on the motion of various sectors,

such as charges in an electrode material and ferromagnetic resonant, and can result in greater system's stability. The alteration in the magnetic field with respect to time on the threshold of ferroconvection and the conflict between harmonic and sub-harmonic modes using the Floquet theory has been examined in some detail [12-14]. Depending on the frequency of the external magnetic field, the advent of thermo-magnetic convection of magnetic fluid significantly affected by stationary and periodically modulated magnetic field shows a shift in the onset of convection [15,16]. The rate of temperature distribution through an electrically charged couple stress liquid under the influence of magnetic field fluctuation with internal heat source is discussed in detail [17]. Of late, the ferroconvection problem of a sparsely packed porous layer subjected to time-dependent magnetic field has been investigated by means of the regular perturbation method with the assumption of minimum amplitude of modulation [18].

On the other hand, if we combine additives or suspensions in oil/fluid, the fluid forces resist the effect of additives. This resistance produces a couple force, which induces couple stresses throughout the fluid. Owing to the microrotation of colloidal particles, these liquids distort and develop a spin field. The spin field projected by the microrotation of the freely floating polar molecules creates an anti-symmetric tension termed as couple stress, and such fluids are recognized as couple stress fluids. It serves applicability in a variety of industrial processes, including crystalline solidification, polymeric fluid

extrusion, exotic lubricants, freezing of metallic plates in a bath, and microscopic solutions, to name a few.

The flow act of non-Newtonian couple stress fluids cannot be effectively characterized by conventional continuum hypothesis. The micro-continuum hypothesis due to Stokes allows for the formation of body couplings, couple stresses, and non-symmetric tensors [19,20]. Assuming a longitudinal wavelength and a negligible Reynolds number, it is reported that the couple stress model produces a larger pressure rise under a given set of conditions when compared to Newtonian fluid models [21]. A mathematical computation concerning squeeze films in a finite bearing system lubricated via couple stress liquids by incorporating the Stokes micro-continuum principle has been investigated in detail [22]. Convective instability of couple stress fluid subjected to rotation, porous medium, chemical reaction and g-jitter has been examined in some detail by a number of researchers [23-27]. Recently, a theoretical study dealing with the effect of rotational modulation on heat and mass transfer in a Darcy porous medium with a couple stress fluid using Ginzburg-Landau approach have been carried out [28].

The problem of convection control is relevant and interesting in a wide range of ferromagnetic fluid applications, not to mention the complexity associated with its mathematical modelling. It should be mentioned that the unmodulated Rayleigh-Bénard problem of ferromagnetic fluid convection subjected to one or more handy constraints has been dealt with by numerous researchers. The effect of magnetic field modulation on Rayleigh-Bénard convection in a horizontal layer of couple stress ferromagnetic fluids, on the other hand, has only received a bit of attention. Motivated by these gaps, we investigate the problem of Rayleigh-Bénard convection in a ferromagnetic couple stress fluid layer induced by magnetic field modulation in this paper, with a focus on how the stability criterion for the onset of ferroconvection changes in the presence of both the couple stress effect and magnetic field modulation.

2. Mathematical Formulation of the Problem

Figure (1) shows the schematic view of the ferroconvection problem's geometric layout. Under the existence of a fluctuated magnetic field, we assess an electrically non-conducting, incompressible, ferromagnetic couple stress liquid trapped between two horizontal surfaces at $z=0$ and $z=d$.

A Cartesian setup (x, y, z) is adopted, with the origin at the bottom of the fluid layer and the z -axis working vertically upstream, in the opposite direction of gravity $\vec{g} = -g\hat{k}$. At a constant temperature gradient ΔT , both surfaces are kept warm. The mathematical governing equations of the present study under the Boussinesq approximation are [4,19]

$$\nabla \cdot \vec{q} = 0 \quad (1)$$

$$\rho_0 \left[\frac{\partial \vec{q}}{\partial t} + (\vec{q} \cdot \nabla) \vec{q} \right] = -\nabla p + \rho \vec{g} + \mu_f \nabla^2 \vec{q} + \nabla \cdot (\vec{H} \vec{B}) - \mu_c \nabla^4 \vec{q} \quad (2)$$

$$C_1 \left[\frac{\partial T}{\partial t} + (\vec{q} \cdot \nabla) \vec{H} \right] + \mu_0 T \left(\frac{\partial \vec{M}}{\partial t} \right)_{V,H} \cdot \left[\frac{\partial \vec{H}}{\partial t} + (\vec{q} \cdot \nabla) \vec{H} \right] = K_1 \nabla^2 T \quad (3)$$

$$\rho = \rho_0 [1 - \alpha(T - T_a)] \quad (4)$$

$$\vec{M} = \frac{\vec{H}}{H} M(H, T) \quad (5)$$

$$M = M_0 + \chi_m(H - H_0) - K_m(T - T_a) \quad (6)$$

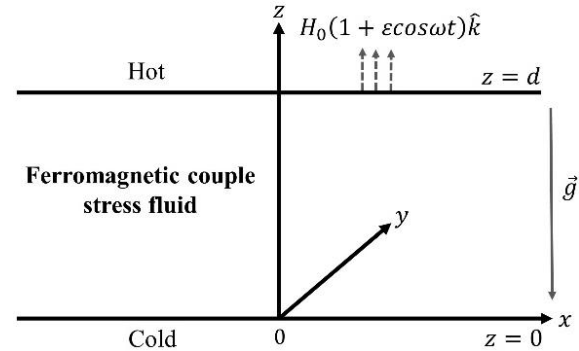


Fig. (1) Geometric configuration of the problem

The relevant Maxwell equations are

$$\nabla \cdot \vec{B} = 0, \nabla \times \vec{H} = 0, \vec{B} = \mu_0(\vec{H} + \vec{M}) \quad (7)$$

where \vec{q} is the velocity of fluid, ρ the density, ρ_0 a reference density, p the pressure, μ_f the fluid viscosity, μ_c the couple stress viscosity, μ_0 the magnetic permeability, T the temperature, \vec{H} the total magnetic field, \vec{M} the magnetization, \vec{B} the magnetic induction, α the coefficient of thermal expansion, T_a a reference temperature, $C_1 = \rho_0 C_{V,H} - \mu_0 \vec{H} \cdot \left(\frac{\partial \vec{M}}{\partial t} \right)_{V,H}$, $C_{V,H}$ the specific heat at constant volume and magnetic field, χ_m is the differential magnetic susceptibility and K_m is the pyromagnetic coefficient. The lower and upper surface temperatures respectively are $T = T_a + \frac{1}{2} \Delta T$ at $z=0$ and $T = T_a - \frac{1}{2} \Delta T$ at $z=d$.

The external magnetic force is modulated harmonically in time by varying the magnetic field acting vertically upward

$$\vec{H}_0^{ext}(t) = H_0^{ext}(t) = H_0(1 + \varepsilon \cos \omega t) \hat{k} \quad (8)$$

where H_0 is the uniform magnetic field, ε is the small amplitude, ω is the frequency and t is the time

3. Linear Stability Theory

On applying the method of small perturbation and introducing the magnetic potential ϕ , we obtain the following stability equations [4,18,31]

$$\left(\frac{1}{\text{Pr}} \frac{\partial}{\partial t} - \nabla^2 + C \nabla^4 \right) \nabla^2 W = [R + RM_1(1 + \varepsilon F)^2] \nabla_1^2 T - RM_1(1 + \varepsilon F)^2 \frac{\partial}{\partial z} (\nabla_1^2 \phi) \quad (8)$$

$$\left(\frac{\partial T}{\partial t} - W \right) = \nabla^2 T \quad (9)$$

$$\nabla^2 \phi = \frac{\partial T}{\partial z} \quad (10)$$

where $F = \text{Re}\{e^{-i\omega t}\} = \cos\omega t$, ω is the dimensionless modulation frequency, $\nabla_1^2 = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}$ and $\nabla^2 = \nabla_1^2 + \frac{\partial^2}{\partial z^2}$. The dimensionless parameters appearing in Eqs. (8) through (10) are as follows: Pr the Prandtl number, R the thermal Rayleigh number, k the effective thermal diffusivity, M_1 the magnetic number, RM_1 the magnetic Rayleigh number, C the couple stress parameter

Equations (8-10) are to be solved using suitable boundary conditions [29]

$$W = \frac{\partial^2 W}{\partial z^2} = T = \frac{\partial \phi}{\partial z} = 0 \text{ at } z = 0, 1 \quad (11)$$

It is suitable to rewrite the entire problem in terms of the vertical component of the velocity W . Upon combining Eqs. (8-10), we obtain the following equation

$$\left(\frac{1}{Pr} \frac{\partial}{\partial t} - \nabla^2 + C \nabla^4\right) \left(\frac{\partial}{\partial t} - \nabla^2\right) \nabla^4 W = R \nabla^2 \nabla_1^2 W + RM_1 (1 + \varepsilon F)^2 \nabla_1^4 W \quad (12)$$

The boundary conditions in Eq. (11) can also be rearranged in terms of W in the form [30]

$$W = \frac{\partial^2 W}{\partial z^2} = \frac{\partial^4 W}{\partial z^4} = \frac{\partial^6 W}{\partial z^6} = \frac{\partial^8 W}{\partial z^8} = 0 \text{ at } z = 0, 1 \quad (13)$$

4. Method of Solution

The eigenfunctions, W and the eigenvalues, R associated with the above eigenvalue problem for a modulated magnetic field that is different from the constant magnetic field by means of small quantity of order ε . Therefore, we assume the solution of Eq. (12) of the form [31]

$$\left. \begin{aligned} W &= W_0 + \varepsilon W_1 + \varepsilon^2 W_2 + \dots \\ R &= R_0 + \varepsilon R_1 + \varepsilon^2 R_2 + \dots \end{aligned} \right\} \quad (14)$$

where R_0 is the critical Rayleigh number pertaining to the unmodulated problem. The expression for R_0 is given by

$$R_0 = \frac{(\pi^2 + \alpha^2)^4 + C(\pi^2 + \alpha^2)^5}{\alpha^2[\pi^2 + (1 + M_1)\alpha^2]} \quad (15)$$

Following the analysis of [31,32], we obtain the following expression for R_2

$$R_2 = - \frac{2R_0^2 M_1^2 \alpha^6}{[\pi^2 + (1 + M_1)\alpha^2]} \sum_{n=1}^{\infty} \frac{G_n}{J_n} \quad (16)$$

where

$$\begin{aligned} G_n &= \left(-\frac{1}{Pr} \omega^2 (n^2 \pi^2 + \alpha^2)^2 + (n^2 \pi^2 + \alpha^2)^4 \right. \\ &\quad \left. + C(n^2 \pi^2 + \alpha^2)^5 \right. \\ &\quad \left. - R_0 \alpha^2 [n^2 \pi^2 + (1 + M_1)\alpha^2] \right) \\ J_n &= \left(-\frac{1}{Pr} \omega^2 (n^2 \pi^2 + \alpha^2)^2 + (n^2 \pi^2 + \alpha^2)^4 - \right. \\ &\quad \left. R_0 \alpha^2 [n^2 \pi^2 + (1 + M_1)\alpha^2] \right)^2 + \left(-\frac{\omega}{Pr} (1 + \right. \\ &\quad \left. Pr)(n^2 \pi^2 + \alpha^2)^3 - C \omega (n^2 \pi^2 + \alpha^2)^4 \right)^2 \end{aligned} \quad (17)$$

5. Results and Discussion

The purpose of the study is to investigate the impact of a time-dependent magnetic field on the advent of convection in a ferromagnetic liquid with

couple stress effect. The values of the Rayleigh number as well as the associated wavenumber are calculated using the regular perturbation method. Magnetic modulation is assumed up to order of $O(\varepsilon^2)$ [31], emphasizing that the amplitude ε of the modulation is quite small. It is difficult to achieve the critical correction Rayleigh number R_{2c} expression without this assumption. M_1 , Pr and C are the parameters that emerge in this study as being influenced by modulation frequency ω . The stabilizing impact of modulation is indicated by a positive R_{2c} , while the destabilizing impact of modulation is indicated by a negative R_{2c} . The fixed values of the parameters $M_1=25$, $Pr=5$ and $C=0.1$ are used in the study at hand. The results are visually described in figures 2 through 4 to gain a thorough understanding of the physical situation, we find from these figures that the value of $R_{2c} > 0$ in all the cases. As a result, magnetic field modulation has a stabilizing effect on the system in the presence of both magnetization and couple stresses, with convection occurring at a later point than in the unmodulated system.

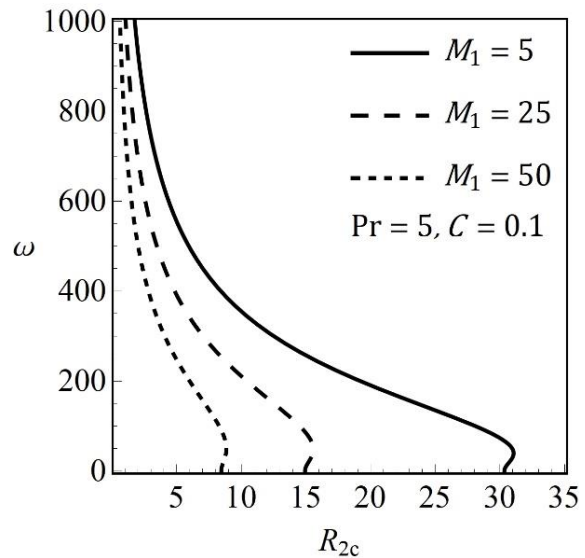


Fig. (2) Variation of R_{2c} with respect to ω for different values of M_1

Figure (2) illustrates the effect of the buoyancy magnetization parameter M_1 over the variation of critical correction Rayleigh number R_{2c} with frequency ω . It is observed from this figure that if ω is small, the value of R_{2c} is let down by increasing the quantity of M_1 . For moderate and high values of ω , R_{2c} shows the equivalent impact on the system, indicating that the effect of magnetic mechanism M_1 is to diminish the stabilizing effect on the magnetic field modulated fluid layer.

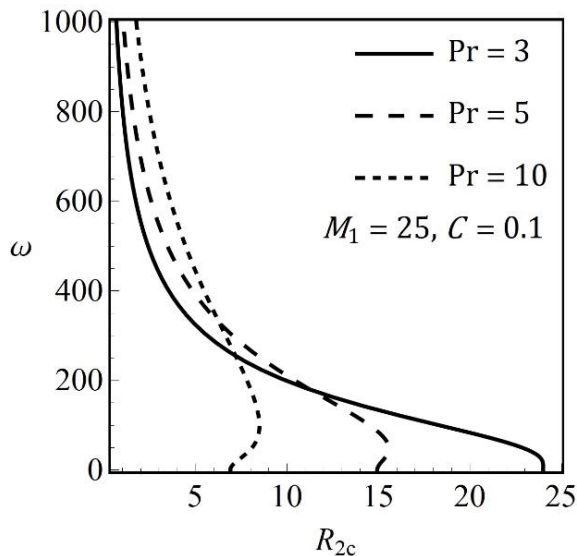


Fig. (3) Variation of R_{2c} with respect to ω for different values of Pr

In Fig. (3), we address the effect of the Prandtl number Pr on R_{2c} . As long as ω is small, R_{2c} decreases as Pr increases. However, if ω is intermediate and big, the pattern reverses. Furthermore, the Prandtl number mitigates the effects of magnetic field variations. In addition, if ω is small enough, the significant influence of Pr on stability is demonstrated.

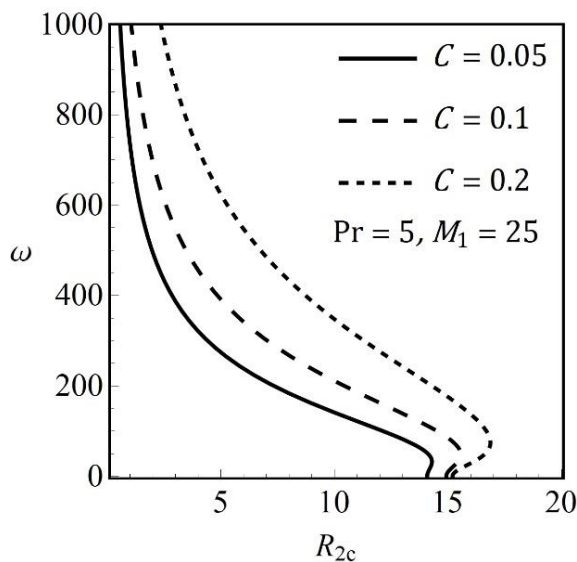


Fig. (4) Variation of R_{2c} with respect to ω for different values of C

Figure (4) represents the effect of couple stress parameter C on the critical correction Rayleigh number R_{2c} with frequency ω by fixing other parameters. We observe from this graph that as C increases the value of critical correction Rayleigh number R_{2c} increases over entire range of values of ω indicating that the effect of couple stress is to stabilize the system.

6. Conclusions

On the basis of Stokes micro-continuum theory, the combined effect of couple stresses signifying non-Newtonian characteristics of the ferrofluid and magnetic modulation heated from below is examined. The central conclusions of the present study are the following. The buoyancy magnetization parameter M_1 reduces the stabilizing effect of magnetic field modulation. The couple stress parameter C has a stabilizing effect on the system. The Prandtl number Pr has destabilizing effect on the system provided ω is small while the opposite effect is seen for moderate and large value of ω . From figures (2-4), $R_{2c} > 0$ in all the cases indicating that magnetic field modulation has a stabilizing effect on the system in the presence of both magnetization and couple stresses. Effects of M_1 , Pr , C and modulation on magnetic force disappear when the frequency of magnetic modulation is considerably large.

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The Nobel Prize in Physics 2022

The Royal Swedish Academy of Sciences has decided to award the Nobel Prize in Physics 2022 to

Alain Aspect

Institut d'Optique Graduate School – Université
Paris-Saclay and École Polytechnique,
Palaiseau, France

John F. Clauser

J.F. Clauser & Assoc.,
Walnut Creek, CA, USA

Anton Zeilinger

University of Vienna, Austria

“for experiments with entangled photons, establishing the violation of Bell inequalities and pioneering quantum information science”

Entangled states – from theory to technology

Alain Aspect, John Clauser and Anton Zeilinger have each conducted groundbreaking experiments using entangled quantum states, where two particles behave like a single unit even when they are separated. Their results have cleared the way for new technology based upon quantum information.

The ineffable effects of quantum mechanics are starting to find applications. There is now a large field of research that includes quantum computers, quantum networks and secure quantum encrypted communication.

One key factor in this development is how quantum mechanics allows two or more particles to exist in what is called an entangled state. What happens to one of the particles in an entangled pair determines what happens to the other particle, even if they are far apart.

For a long time, the question was whether the correlation was because the particles in an entangled pair contained hidden variables, instructions that tell them which result they should give in an experiment. In the 1960s, John Stewart Bell developed the mathematical inequality that is named after him. This states that if there are hidden variables, the correlation between the results of a large number of measurements will never exceed a certain value. However, quantum mechanics predicts that a certain type of experiment will violate Bell's inequality, thus resulting in a stronger correlation than would otherwise be possible.

John Clauser developed John Bell's ideas, leading to a practical experiment. When he took the measurements, they supported quantum mechanics by clearly violating

a Bell inequality. This means that quantum mechanics cannot be replaced by a theory that uses hidden variables.

Some loopholes remained after John Clauser's experiment.

Alain Aspect developed the setup, using it in a way that closed an important loophole. He was able to switch the measurement settings after an entangled pair had left its source, so the setting that existed when they were emitted could not affect the result.

Using refined tools and long series of experiments, **Anton Zeilinger** started to use entangled quantum states. Among other things, his research group has demonstrated a phenomenon called quantum teleportation, which makes it possible to move a quantum state from one particle to one at a distance.

“It has become increasingly clear that a new kind of quantum technology is emerging. We can see that the laureates' work with entangled states is of great importance, even beyond the fundamental questions about the interpretation of quantum mechanics,” says Anders Irbäck, Chair of the Nobel Committee for Physics.

Alain Aspect, born 1947 in Agen, France. PhD 1983 from Paris-Sud University, Orsay, France. Professor at Institut d'Optique Graduate School – Université Paris-Saclay and École Polytechnique, Palaiseau, France.

John F. Clauser, born 1942 in Pasadena, CA, USA. PhD 1969 from Columbia University, New York, USA. Research Physicist, J.F. Clauser & Assoc., Walnut Creek, CA, USA.

Anton Zeilinger, born 1945 in Ried im Innkreis, Austria. PhD 1971 from University of Vienna, Austria. Professor at University of Vienna, Austria.

Prize amount: 10 million Swedish kronor, to be shared equally between the laureates.

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Spectroscopic Study of Sol-gel Synthesized Silica Xerogel Embedded with Dysprosium Ions

Recently, Dysprosium Dy^{3+} ions embedded in silica xerogels have been synthesized and investigated as a function of Dy^{3+} concentrations. The spectroscopic activity of Dy^{3+} ions in silica matrix has been observed through recording the absorption and luminescence spectra. The UV-Visible-NIR spectra revealed absorption peaks due to Dy^{3+} active centers. The $^4F_{9/2}$ emissions have been observed from a minority of isolated Dy^{3+} ions within the pores structure of silica matrix, and the FTIR result supports the distribution of such ions in these porous. The analysis indicates that at doping levels, most ions reside in clusters and $^4F_{9/2}$ emission, which is demonstrated by the quenching observed in the corresponding spectra. Some spectroscopic parameters such as emission cross-section σ_{em} and oscillator strength f_{exp} have been determined and analyzed.

Keywords: Lanthanide; Luminescence quenching; Sol-gel; Spectroscopic parameters
Received: 26 July 2022; **Revised:** 17 September 2022; **Accepted:** 24 September 2022

1. Introduction

Spectroscopy of lanthanide ions integrated into host glasses are used extensively in different scientific and technical applications such as optical amplifiers, bar-code reading, optical fibers, optical detector, optical communication, optical data storage devices, and solid-state materials emitting visible light (near white light emitting diode LEDs) due to 4f–4f and 4f–5d electronic transitions [1]. White light-emitting diodes (W-LEDs) generate white light using phosphors and a short-wavelength excitation source in the visible and ultraviolet spectral regimes. They now play an essential role in modern lighting due to their high efficiency, relatively low cost, compact structure, and simple driving circuitry [2].

In developing lanthanide doped optical devices, the selection of host glass matrix is significant because the spectral transitions of lanthanide ions are host-dependent [1-2]. The spectroscopic properties of lanthanide ions depend on their 4f–4f transitions and can be controlled by changing the chemical composition of the host glass matrix [3]. Among lanthanide ions, the Dy^{3+} ($^4F^9$) ion is one of the best suitable candidates for preparing visible lasers and phosphor materials. Depending on the host, It can emit several attractive visible wavelengths between its f–f transitions. The Dy^{3+} ion is one of the most studied lanthanide ions. Special attention has recently been on studying Dy^{3+} -doped glass due to its protentional applications for high-density optical storage, undersea communication, and color display [4]. The visible fluorescence of Dy^{3+} ion contains two intense bands, one in blue (470-500 nm) and the other one in yellow (570-600 nm) regions, which are due to

the transitions $^4F_{9/2} \rightarrow ^6H_{15/2}$ and $^4F_{9/2} \rightarrow ^6H_{13/2}$, respectively [4-5].

Lanthanide-doped silica can be made in bulk by any of several methods, including high-temperature vapor phase processing, [6] sol-gel chemistry [7-9], or ion implantation [10]. The sol-gel processing emerged as an attractive alternative for the fabrication of glasses utilizing combinations of II-VI semiconductors, Lanthanides and dielectrics like SiO_2 . Silica prepared by sol-gel is environmentally friendly and has good thermal and chemical stability. This method can provide suitable host material via the transition states of viscous gels produced by polymerization metal alkoxides [11].

In the present work, we have focused our attention on the spectroscopic parameters and properties of silica xerogel doped with Dy^{3+} to obtain more information about the nature of lanthanides as phosphor materials emitting visible light.

2. Experimental Part

Sol-gel synthesized undoped silica xerogel, and Dy^{3+} -doped silica xerogel was used to prepare bulk samples as a function of Dy^{3+} ion concentration described in Fig. (1). Tetraethyl orthosilicate (TEOS, purity >98% supplied by Schuchardt, Germany), ethanol (C_2H_5OH , 99.0% supplied by Fluka Garantie, Germany), and water were used as starting materials, and hydrochloric acid (HCl) was used as the catalyst. The molar ratio was taken as 1:5:10 for TEOS/ethanol/water. Dysprosium chloride hexahydrate ($DyCl_3 \cdot 6H_2O$, 99.9 % from Aldrich) was used as the source of Dy^{3+} ions. All samples were prepared by first dissolving TEOS in ethanol by magnetic stirring for 30 min. Then dilute HCl was

added to the solution instead of pure water to catalyze the hydrolysis/condensation reaction. The mixture was stirred continuously for another 30 min. A different concentration of Dy^{3+} solutions was prepared by dissolved $\text{DyCl}_3 \cdot 6\text{H}_2\text{O}$ in deionized water; 1 ml of these solutions with different concentrations (1, 0.55, 0.35, 0.2, 0.125) $\times 10^{-1}$ M was added to the mixture and stirred for 30 min to produce the samples. All solutions are left for the aging process for 12 hours. A gel was produced when storing the solutions in closed containers placed in an oven at 60 °C, and then the first gel drying occurred at a temperature of 60 °C in open containers for 24 hours to achieve a transparent sample. Finally, the drying process was done to let the solvent evaporation from samples in an oven at 90-110 °C for 48 hours with the range 5 °C every 12 hours.

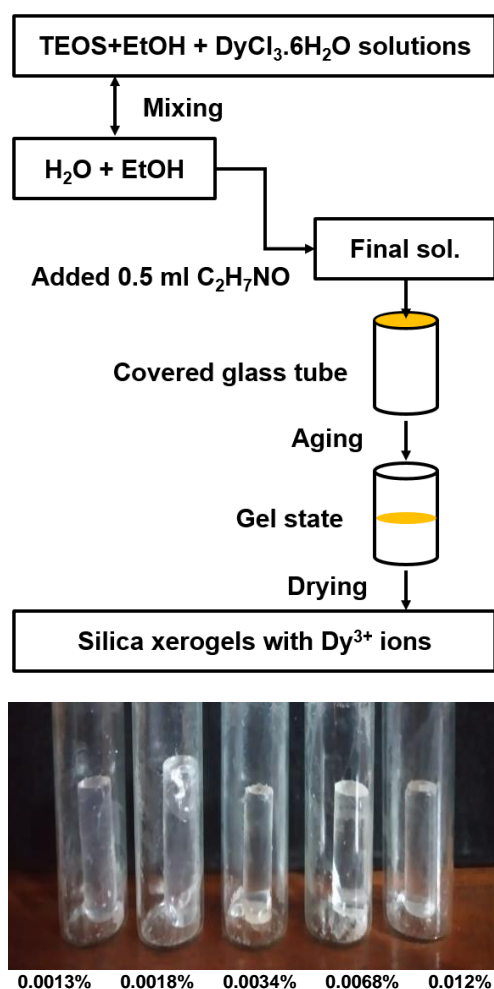


Fig. (1) Sol-gel preparation scheme for Dysprosium-doped silica xerogels

3. Results and Discussion

Figure (2) shows the absorption spectra of Dy^{3+} solutions were recorded using a Shimadzu UV-1800 Double-Beam UV-Visible spectrophotometer. Eight absorption bands caused by electronic transitions of an energy level of Dy^{3+} ions are recorded, and the wavelengths to these bands are equal to about 324,

350, 365, 388, 453, 760, 808 and 911 nm due to the transition ${}^6\text{H}_{15/2} \rightarrow {}^6\text{P}_{3/2}$, ${}^6\text{P}_{7/2}$, ${}^6\text{P}_{5/2}$, ${}^4\text{I}_{13/2}$, ${}^4\text{I}_{15/2}$, ${}^6\text{F}_{3/2}$, ${}^6\text{F}_{5/2}$ and ${}^6\text{F}_{7/2}$ levels, respectively [11-12]. The absorbance that corresponds to these transitions depends on the concentration of the Dy^{3+} ions in the solutions; when the concentration of Dy^{3+} increases, the absorbance becomes increasingly prominent and sharper, and this is due to the increase of ions contribution in the absorption process so much as the increase of their concentrations according to Lambert–Beer's law.

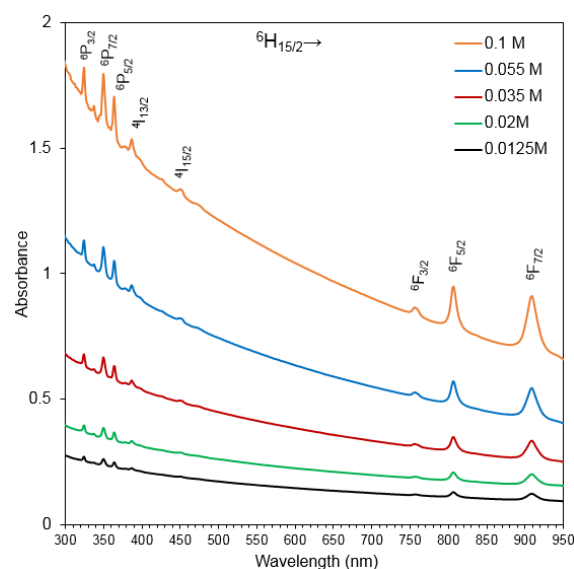


Fig. (2) Absorption spectra of Dy^{3+} ions in the solutions

The absorption spectra presented in Fig. (3) for the doped samples (0.00135-0.012%) show absorption bands corresponding to intraconfigurational $4f^n-4f^n$ transitions of the Dy^{3+} ions. These transitions suggest that the spectroscopic activity of the ions in samples and solutions is the same, and absorbance increases with increasing concentrations of Dy^{3+} ions with a slight blue shift. According to published information, each transition's shape and peak position are the same as the other samples. [12-14].

Figure (4) illustrates the recorded photoluminescence spectra using the 350 nm excitation wavelength of the xenon lamp source (Shimadzu RF-5301 PC Spectrofluorophotometer). The emission band at 575 nm is attributed to the transition: ${}^4\text{F}_{9/2} \rightarrow {}^6\text{H}_{13/2}$. The hypersensitive 575nm yellow-region emission is well known to correspond to the induced electric dipole transition, which is strongly influenced by the host environment [14-16]. It is worth observing that the emission intensity is higher in the sample with a concentration of 0.0034 mol.% than in the other samples. Their occurrences of luminescence quenching for Dy^{3+} in the silica matrix that were observed in other concentrations could be due to the energy transfer among the excited Dy^{3+} ions, such as the interaction between the

lanthanide ions due to cross-relaxation (CR), strong interaction between two active ions can transfer the excitation energy from Dy^{3+} ion to another, O-H vibrations of water and concentration quenching exhibited by Dy^{3+} ions at higher concentrations. This phenomenon is most likely caused by the increase in the number of nonradiative decay channels and also due to many closely spaced excited states, which leads to the quenching [17,18]; it indicates the clustering of these ions in the porous structures of sol-gel material.

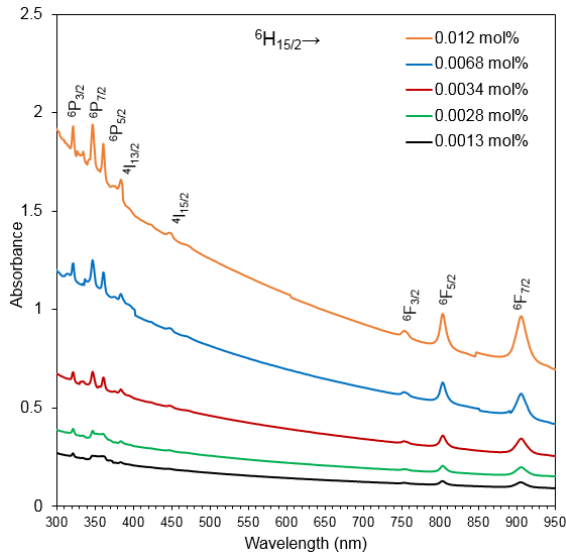


Fig. (3) Absorption spectra of silica xerogels doped with different concentrations of Dy^{3+} ions

The Fourier-transform infrared (FTIR) spectra recorded using Shimadzu FTIR spectrometer on KBr pellets of the samples over the range 4000-400 cm^{-1} of undoped and doped silica samples are illustrated in Fig. (5). They supported the distribution of ions in these porous structures. The characteristics vibrational bands of the host contain five absorption bands were found at about 470, 800 and 1064 cm^{-1} , which were due to bending, symmetric stretching, and asymmetric stretching vibrations of Si-O-Si groups respectively, while the weak band at about 960 cm^{-1} was due to stretching vibration of Si-OH groups. Another two bands appeared at about 1658 and 3419 cm^{-1} , which are characteristic of vibration of the O-H bond in water molecules [19,20], indicating that the drying process at 60 °C does not completely trap the water molecules from the silica pores.

The spectroscopic parameters, such as absorption coefficients $\alpha(\lambda)$ and absorption cross-sections $\sigma(\lambda)$, can be calculated from absorption spectra using the formula [21]:

$$\sigma(\lambda) = \frac{\alpha(\lambda)}{\rho} \quad (1)$$

where ρ is the ion density (cm^{-3}), the refractive index $n(\lambda)$ calculated by Michelson interferometer was about 1.46-1.47. Bowen and Wokes gave an

empirical formula to get a sufficiently accurate value of radiative lifetime (τ_{rad}) [22]:

$$\frac{1}{\tau_{rad}} = 2.88 \times 10^{-9} \times n^2 \times \int \epsilon(\nu) d\nu \quad (2)$$

where ν is the wavenumber at the peak of the absorption band (in cm^{-1}) and $\int \epsilon(\nu) d\nu$ is the area under the absorption band curve, and $\epsilon(\nu)$ is the molecular extinction coefficient

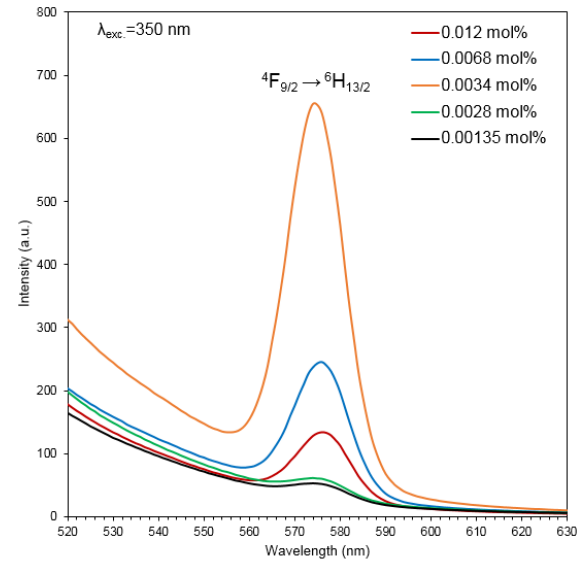


Fig. (4) Emission spectra of silica xerogel doped with Dy^{3+} ions excited by 350 nm

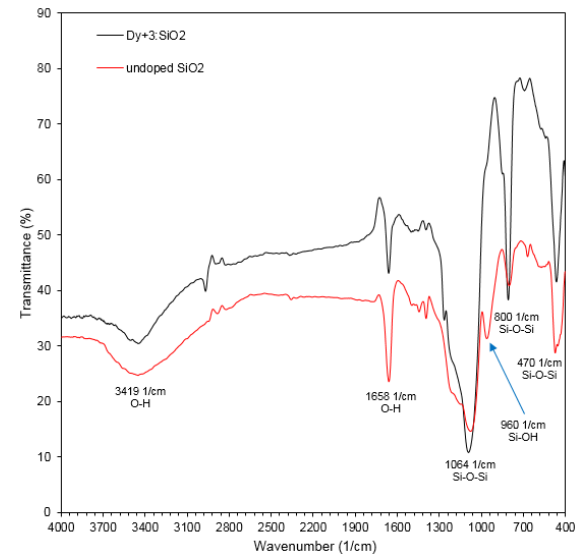


Fig. (5) FTIR spectra of undoped silica xerogel and Dy^{3+} -doped silica xerogel

The peak emission cross-section (σ_{em}) for transition $⁴F_{9/2} \rightarrow ⁶H_{13/2}$ can be determined from:

$$\sigma_{em} = \frac{\lambda_p^4}{8\pi c n^2 \Delta\lambda_{eff} \tau_{rad}} \quad (3)$$

where λ_p is the peak wavelength within the fluorescence band, $\Delta\lambda_{eff}$ is the emission linewidth (effective); which is determined by the full-width at

half maximum (FWHM) of the emission band, and n is given by:

$$n' = \frac{(n^2(\lambda) + 2)^2}{9n(\lambda)} \quad (4)$$

The oscillator strength (f_{exp}) can be calculated from the absorption spectra using the formula [23]:

$$f_{exp} = 4.32 \times 10^{-9} \int \epsilon(\nu) d\nu \quad (5)$$

Table (1) shows the results of the calculated parameters from the recorded spectra. These parameters are related to the absorption band for transition ${}^6H_{15/2} \rightarrow {}^6P_{7/2}$ and the emission band for transition ${}^4F_{9/2} \rightarrow {}^6H_{13/2}$ of Dy^{3+} ions. Figure (6) displays the behavior of the oscillator strength (f_{exp}) and peak emission cross-section (σ_{em}) with different concentrations of Dy^{3+} ions in the doped samples. This figure also shows that the f_{exp} and σ_{em} are slightly increasing with an increase in the Dy^{3+} ions concentration to reach a maximum value of 0.0034 mol.% and then slightly decreasing with increasing concentration. This behavior is caused by the luminescence quenching effect. The oscillator strength values of Dy^{3+} are connected with hypersensitive electric dipole transitions and indicate a non-symmetrical surrounding of Dy^{3+} ions in the silica network.

Table (1) Spectroscopic parameters of silica xerogel doped with Dy^{3+} ions

Sample no.	Dy^{3+} ions Concentration (%)	Absorption cross-section $\sigma(\lambda)$ ($\times 10^{-19}$ cm ²)	Radiative lifetime τ_{rad} (ms)	Oscillator Strength f_{exp} ($\times 10^{-6}$)	Emission Cross section σ_{em} ($\times 10^{-19}$ cm ²)
SDy1	0.0013	0.9	1.1	0.82	0.24
SDy2	0.0028	0.82	0.98	0.86	0.26
SDy3	0.0034	0.93	0.51	1.65	0.37
SDy4	0.0068	1.08	0.57	1.47	0.33
SDy5	0.012	9.3	0.62	1.36	0.32

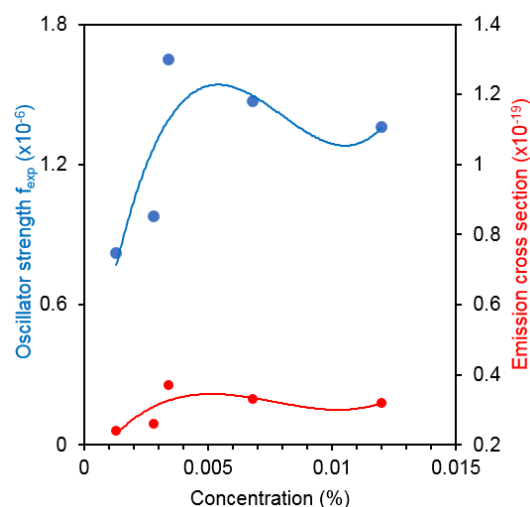


Fig. (6) Variation in oscillator strength (f_{exp}) and peak emission cross-section (σ_{em}) with the concentrations of Dy^{3+} ions in doped samples

4. Conclusions

The sol-gel method was successfully used to synthesize transparent silica xerogels and silica xerogels containing up to 0.012 mol.% of Dy^{3+} ions. The structural behavior of these ions in these matrices is described as randomly dispersed and unable to form any ligands in silica networks. These ions emit intense visible radiation and the spectroscopic characterization shows that the Dy^{3+} ions are clumped within the pores of the silica sol-gel host, suppressing emission at high doping levels. The present results are considered a promising start for future research into the possibility of enhancing the visible emissions of such ions by co-doping with metal nanoparticles like silver and gold that are advantageous in white light-emitting diode (W-LED) applications.

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Using Banana Peels for Green Synthesis of Mixed-Phase Titanium Dioxide Nanopowders

In this work, titanium dioxide (TiO₂) nanopowders were synthesized from the titanium isopropoxide and banana peels by the solvothermal method. The synthesized nanopowder was polycrystalline and containing both anatase and rutile phases of TiO₂, with minimum nanoparticle size of 25.41, and good structural purity, which was supported by the spectroscopic measurements. The proposed method can be described as low-cost, reliable and simple method to synthesize TiO₂ nanopowders for practical applications that require mass quantities of this material with sufficiently good characteristics.

Keywords: Titanium dioxide; Nanoparticles; Solvothermal method; Green synthesis

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1. Introduction

The needs for antibacterial and antifungal nanopowders, such as titanium dioxide (TiO₂) in most recent biological, medical and environmental applications, the methods and techniques to prepare and synthesize such nanopowders have been drastically varied and developed. Some methods and techniques can exceptionally produce highly-pure nanopowders either using the top-down or bottom-up approaches [1,2]. However, the requirements of such methods and techniques are relatively costive and complex [3]. Furthermore, the quantities of the produced nanopowders are very little to be used for mass treatment applications such as antibacterial and antifungal processes, pigments and paintings, hydrophobic and smart windows [4-7]. Therefore, alternative methods and techniques those can produce larger quantities of nanopowders are explored, employed, developed and optimized. Amongst, the solvothermal method is one of the most economical and simplest [8].

The solvothermal method can be described as an effective route to prepare titanium dioxide nanopowders with reasonably good control of their shape, size, distribution and crystallinity [9]. Such control is carried out throughout the experimental parameters included in this method, mainly, properties of solvent and precursor of titanium, addition of surfactants, solution and/or reaction temperatures, and reaction time [9,10]. Using organic solvents, such as ethanol, in the solvothermal method most likely leads to produce titanium dioxide nanopowders without foreign anions because such organic solvents are characterized by low relative permittivity and lack to ionic species [1]. On the other hand, the titanium dioxide nanopowders produced by the solvothermal method, just like all methods and techniques containing chemical reactions in solutions, are most likely consisting of anatase and rutile phases of titanium dioxide [11]. Obviously, the

structural phase that initially forms during the preparation of titanium dioxide is the anatase, which is metastable phase. Due to thermal effects, the anatase phase converts into rutile phase, which is stable. Consequently, the titanium dioxide sample is described as mixed-phase (anatase/rutile) [12,13]. In pure synthetic titanium dioxide, the anatase to rutile phase transition usually occurs at temperature range of 600-700 °C. This transition temperature can be altered by various methods, including modifying the precursor or by adding dopant or modifier to the TiO₂ sample [14]. Despite that the environment, solution and reaction temperatures do not exceed 100 °C, the transition may occur locally as the TiO₂ particles are grown at the nanoscale [15]. As the intended applications of titanium dioxide nanopowders are not highly sensitive to the structural phase of these nanopowders, a low-cost, reliable, reasonably simple method such as solvothermal method is highly preferred [16].

In this work, mixed-phase titanium dioxide nanopowders were synthesized by a green route using titanium isopropoxide as a precursor and banana peels. The characteristics of the synthesized nanopowders were introduced.

2. Experimental Work

Fresh banana fingers from the local market were used to obtain the peels before wilt. These peels were cut into small pieces, washed three times with distilled water to remove any contaminants and dirt, and dried with drying paper. Then, 75 g of the dried peels were put in a beaker containing 150 mL of distilled water. The mixture was heated up to boiling temperature (100 °C) for 20 min. Then, the boiled mixture was filtered twice using Whatman No. 1 filter paper. The extracted solution was kept in the freezer at (≤4 °C).

An aqueous solution was prepared by solving 100 mg of titanium isopropoxide (C₁₂H₂₈O₄Ti) in 1 mL of

distilled water. The extracted solution of banana peels was taken out from the freezer and heated up to 60 °C for 10 min. A 50 mL of the extracted solution was drawn and put in a beaker on a magnetic hot plate stirrer. Then, 5 mL of the aqueous solution of $C_{12}H_{28}O_4Ti$ was taken and added to the 50 mL of extracted solution as drops while keeping stirring for one hour. The mixture was filtered using Whatman No. 1 filter paper to separate the formed nanopowder. These filtered nanopowder were washed twice with distilled water to remove any residuals from the previous mixing process and reaction step. The separated nanopowder was dried by heating up to 100 °C for 24 hours and then kept in sealed container to be characterized and then used in the intended applications.

The structural characteristics of the synthesized nanopowders were determined by x-ray diffraction (XRD) patterns using a Bruker D2 PHASER XRD system (Cu-K α x-ray tube with $\lambda=1.54056\text{\AA}$), the surface morphology was determined by an Inspect F50 field-emission scanning electron microscope (FE-SEM) using , the elemental constitution was determined by energy dispersive x-ray spectroscopy (EDX), the formation of molecular bonds and their vibrations were determined by Fourier-transform infrared (FTIR) spectroscopy using a SHIMADZU FTIR-8400S instrument, and the absorption spectra were recorded using a K-MAC SpectraAcademy SV-2100 spectrophotometer in the range of 300-800 nm as the synthesized nanopowder was immersed in a transparent viscous host as a reference.

3. Results and Discussion

Figure (1) shows the XRD pattern of the TiO_2 nanopowder synthesized in this work. Obviously, 14 peaks are seen, which belong all to the TiO_2 ; 8 of them for anatase (A) phase and 6 for rutile (R) phase. This is why the TiO_2 nanopowder referred to as mixed-phase and polycrystalline [17]. The formation of rutile phase cannot be avoided even much more care is considered during the formation of nanopowder as heating steps are necessarily required.

The crystallite size (D) was determined for all peaks, as shown in table (1), by Scherrer's equation as [18]:

$$D = \frac{0.9\lambda}{\beta \cos \theta} \quad (1)$$

where λ is the wavelength of x-rays (1.54\AA), 0.9 is a constant, β is the full width at half-maximum (FWHM), which was given by the software of the XRD instrument

Figure (2) shows the FE-SEM image of the TiO_2 nanopowder synthesized in this work. It is clear that the TiO_2 nanoparticles in the nanopowder sample have different sizes with a minimum size of 25.41 nm, however, the differences are not high enough to consider this sample is inhomogeneous. As well, aggregation is apparent, which is unavoidable in any preparation method or technique that includes

formation processes based on thermally-activated chemical reactions [19].

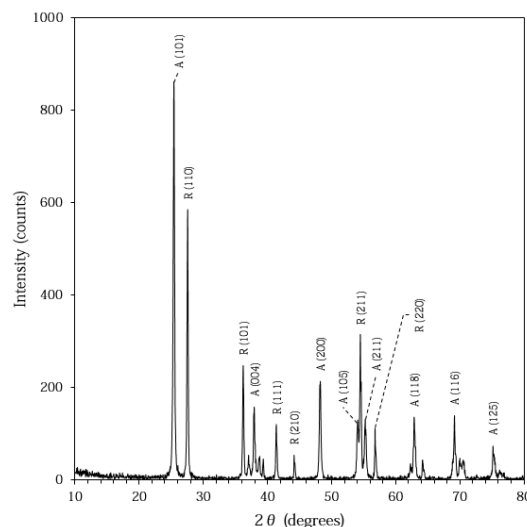


Fig. (1) XRD pattern of synthesized TiO_2 nanopowder

Table (1) Determination of crystallite size for the synthesized mixed-phase TiO_2 nanopowder

Peak no.	2 θ (deg)	D (nm)	Phase	(hkl)
1	25.44	13.227	A	(101)
2	27.6	14.722	R	(110)
3	36.24	14.770	R	(101)
4	37.92	15.388	A	(004)
5	41.36	13.537	R	(111)
6	44.16	11.686	R	(210)
7	48.24	14.765	A	(200)
8	54.04	15.858	A	(105)
9	54.48	14.780	R	(211)
10	55.24	15.944	A	(211)
11	56.76	10.482	R	(220)
12	62.88	15.298	A	(118)
13	69.12	17.501	A	(116)
14	75.12	20.497	A	(125)

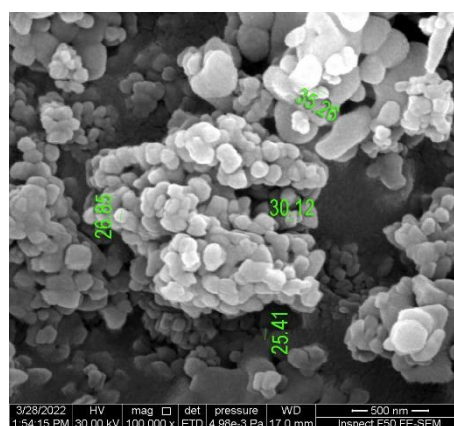


Fig. (2) FE-SEM image of synthesized TiO_2 nanopowder

Figure (3) shows the EDX results of the TiO_2 nanopowder synthesized in this work. The color mapping images (Fig. 3a) show that the volume density of Ti atoms is higher than that of O, which is confirmed by the elemental weight analysis (73.1% Ti vs. 26.8% O). This may be attributed to the

difference in atomic radius between titanium and oxygen. On the other hand, the atomic percentages of both elements (Ti and O) are comparable (46% and 54%, respectively). These results show that the synthesized nanopowder certainly contains non-stoichiometric TiO_2 compound. However, the intended uses of the synthesized nanopowders do not critically need for stoichiometric compound.

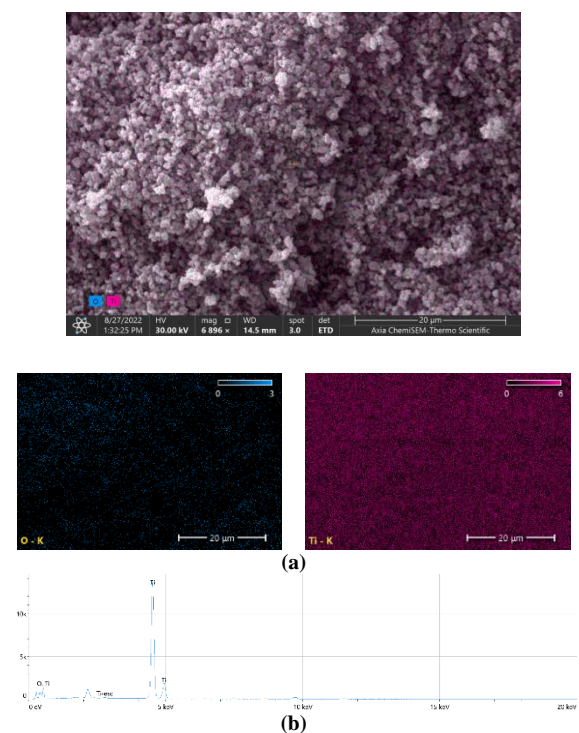


Fig. (3) EDX result of synthesized TiO_2 nanopowder (a) color map distribution, (b) EDX spectrum and elemental analysis table

Figure (4) shows the FTIR spectrum of the TiO_2 nanopowder synthesized in this work. There are three distinct peaks centered at 409, 447 and 667 cm^{-1} belonging to the vibrations of the TiO_2 molecules in the TiO_2 lattice; bending, asymmetric and symmetric modes, respectively [20]. As well, two bands at 1620 and 3450 cm^{-1} are clearly seen and they are attributed to the vibration modes of O-H bond. The two possible sources for the OH molecules are (1) the aqueous solution included in the synthesis route, and (2) adsorption of water molecules from the environment when the synthesized sample is exposed to the atmosphere [21,22].

Since the antibacterial and antifungal activity of TiO_2 nanopowder depends on its absorption characteristics, then the assessment of the synthesized nanopowder can be done by recording the absorption spectrum of this nanopowder. However, the synthesized nanopowder should be hosted in a less-

dense medium to record its absorption spectrum after been referenced to the absorption spectrum of the hosting medium. Figure (5) shows the UV-visible spectrum of the synthesized TiO_2 nanopowder in the spectral range of 300-800 nm.

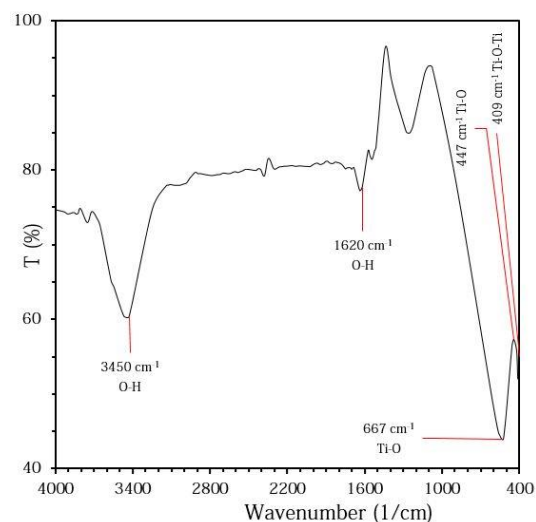


Fig. (4) FTIR spectrum of synthesized TiO_2 nanopowder

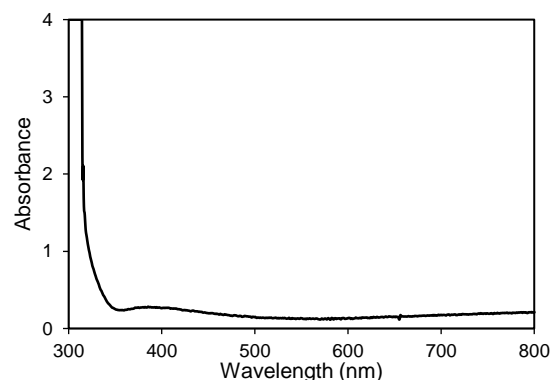


Fig. (4) UV-visible spectrum of synthesized TiO_2 nanopowder

It is clear that the sample exhibits high absorption in the UV region ($<400\text{ nm}$) and very low absorption in the visible and near-infrared (NIR) regions. Such behavior is a characteristic of TiO_2 as its photocatalytic activity is induced by the absorption of UV radiation. Therefore, the synthesized nanopowder can be successfully and safely used for antibacterial and antifungal purposes due to the synergetic effect exhibited by the TiO_2 nanostructures containing both anatase and rutile phases [23,24].

4. Conclusion

In concluding remarks, mixed-phase TiO_2 nanopowder was synthesized by solvothermal method using banana peels and titanium isopropoxide as titanium precursor. The structural characteristics have confirmed that the synthesized nanopowder is polycrystalline and containing both anatase and rutile phases. A minimum nanoparticle size of 25.41 nm was observed. Also, the synthesized nanopowder showed reasonable structural purity as no other

elements other than Ti and O were detected in the final sample. Spectroscopic characteristics of the synthesized nanopowder confirmed that it can be successfully used for practical applications based on the photocatalytic activity of TiO₂ nanomaterial. The proposed method can be described as low-cost, reliable and simple enough to provide the practical applications requiring mass quantities of TiO₂ nanopowders.

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Structural and Optical Characteristics of Co_3O_4 Nanostructures Prepared by DC Reactive Magnetron Sputtering

Cobalt oxide thin films were prepared by dc reactive magnetron sputtering system using different gas mixing ratios of argon and oxygen. The influence of gas mixing ratio on the structure of cobalt oxide thin film was studied by x-ray diffraction (XRD) patterns, which confirm the crystalline nature of the prepared samples. A well-defined diffraction peak at about 37° (311) was observed, which indicates that the spinel structure (Co_3O_4) of cobalt oxide was obtained. The particle size of the prepared Co_3O_4 was determined by field-emission scanning electron microscopy (FE-SEM) and the elemental composition of this oxide was evaluated by energy-dispersive x-ray dispersive (EDX) spectroscopy. The energy band gap was determined in the range 2.01-2.06 eV. Due to the homogeneous optical and structural characteristics of the prepared cobalt oxide thin films, they can be used as ion-storage layer in electrochromic or photoelectrochromic device.

Keywords: Cobalt oxide; Reactive sputtering; Magnetron sputtering; Nanostructures
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1. Introduction

Cobalt oxide is one of the most important transition metal oxide, p-type antiferromagnetic semiconductors. Cobalt forms two stable oxides: cubic-type structure (CoO) with direct band gap between 2.2-2.8 eV [1,2], and spinel-type structure (Co_3O_4) with direct band gap between 1.48-2.19 eV [3]. Cobalt oxide nanostructures have a wide range of applications due to their shape-dependent activities and unique size, optical, magnetic, electronic, chemical, electrochemical, mechanical properties [4,5]. There are several deposition techniques to prepare oxide thin films such as sputtering, chemical vapor deposition, spray pyrolysis, electrophoretic deposition (EPD), pulsed laser deposition (PLD), sol-gel process, etc. [6,7]. The reactive sputtering is a frequently employed technique for deposition of thin films, in combination with reactive gases like oxygen and nitrogen, for the deposition of oxide and nitride films [8-15]. This technique has been considered to be promising for the preparation of high quality, high purity, and homogeneity and it is also much better to produce layers of compound materials and alloys in order to control the formation and structural phase of the deposited nanostructured films [16-22]. The preparation and characterization of cobalt oxides have been extensively studied due to attractive applications in batteries, catalysis, corrosion protective coatings, solar cells, magnetic nanostructures, magnetic storage systems, and electrochromic (EC) device. Co_3O_4 has been reported

to be a good anodic coloration material for the EC application [5,23].

The dc reactive magnetron sputtering technique will be employed to prepare nanostructured cobalt oxide thin films and study their structural characteristics in order to use these films as a counter electrode (ion-storage layer).

2. Experimental Part

A homemade dc reactive magnetron sputtering system was used to deposit cobalt oxide thin films on glass substrates. This system works under vacuum which ensures high structural purity of the prepared films. A cobalt sheet (diameter of 6 cm, thickness of 1 mm, purity of 99.99%) supplied by Stanford Advanced Materials was mounted on the cathode as a target and the glass substrate was placed on the anode [24,25]. The substrates were cleaned by ethanol to remove any residuals on their surfaces and then rinsed in distilled water to remove the ethanol. The inter-electrode distance was varied from 4 to 9 cm in order to determine the optimum distance for producing high quality samples (i.e., highly uniform film thickness) and was fixed at 4 cm. Several samples were prepared using different gas mixing ratios of argon and oxygen ($\text{Ar}:\text{O}_2$) (60:40, 70:30, 80:20 and 90:10) to produce the Co_3O_4 structural phase and study the effect of changing this ratio on the particle size. Plasma was produced by electrical discharge of argon gas at pressure of 7.2×10^{-2} mbar, the lowest electrical power was 24 W, with applied voltage of 1.6 kV and

discharge current of 15 mA. Figure (1a) shows the sample of cobalt oxide thin film deposited on silica substrate after deposition time of 1 hour.

Nanopowders were extracted from deposited thin films using the conjunctional freezing-assisted ultrasonic extraction technique, which is quick, low-cost, reliable, and extremely clean [26-28]. Figure (1b) shows the extracted powder.

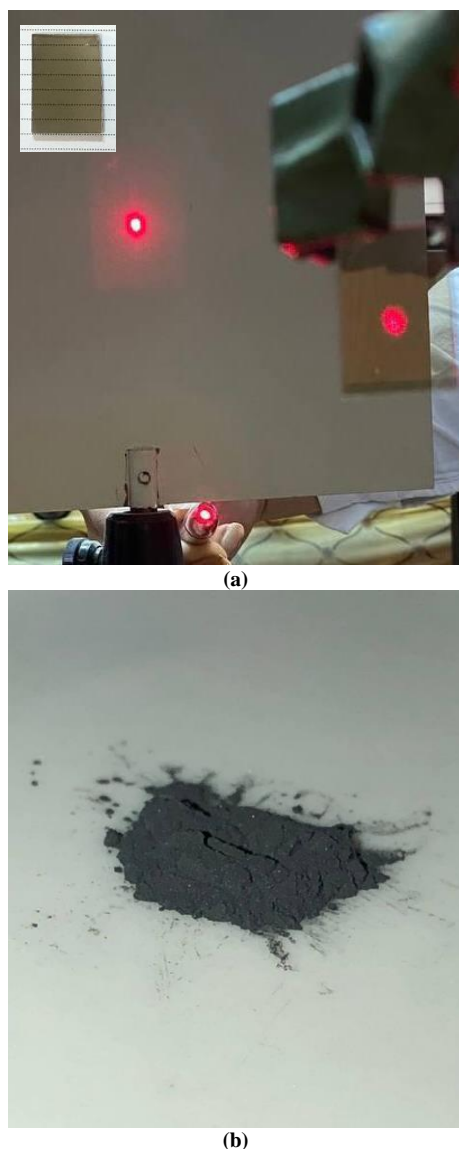


Fig. (1) (a) Cobalt oxide thin film prepared in this work, and (b) the extracted nanopowder from thin film samples

3. Results and Discussion

The crystal structure of the powders extracted from cobalt oxide thin films was investigated by an X-ray Shimadzu Diffractometer using Cu-K α source (1.54Å). The XRD shows several peaks which are attributed to typical spinel-type cobalt oxide. All recorded XRD patterns of cobalt oxide nanopowders prepared with different gas (Ar:O₂) mixing ratios indicate that the crystalline structure of the deposited films constitutes a single phase of Co₃O₄ with a spinel-type structure. The XRD patterns in Fig. (2) show a major peak at about 37° corresponding to

crystal plane of (311). This peak has the highest intensity, indicating the oriented growth of the sample in the (311) direction. We have observed peaks at 31.5°, 37.1°, 38.7°, 45.0°, 55.9°, 59.6°, and 65.4° corresponding to (220), (311), (222), (400), (422), (511) and (440) planes, which indicate the formation of pure Co₃O₄ [29], and no other peaks are observed from any impurity due to the use of sputtering technique in this work. The intensities of peaks are affected by particle size and their full-width-at-half maximum (FWHM) is inversely proportional to the crystallite size, as FWHM increases with decreasing crystallite size, the FWHM of prepared samples are 0.4949, 0.4223, 0.3397 and 0.2397 for mixing ratios of (60:40), (70:30), (80:20) and (90:10), respectively. The crystallite size is proportional to the intensity of diffraction peaks, then the intensity of diffraction peak for the sample prepared using (60:40) mixing ratio is lower than other morphologies of Co₃O₄ prepared using other (Ar:O₂) gas mixing ratios, suggesting that spinel Co₃O₄ prepared using (60:40) mixing ratio may has lower crystallinity and smaller crystallite size [30].

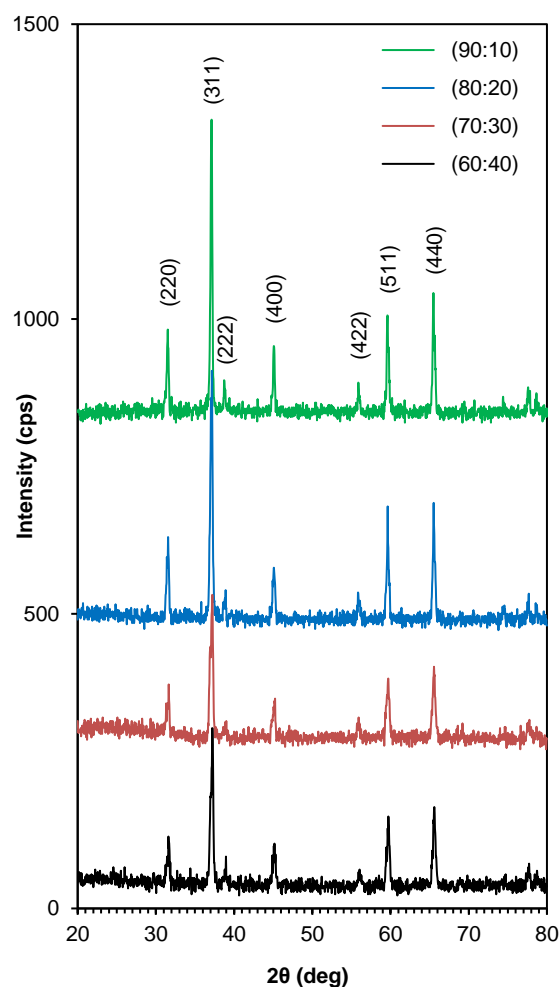


Fig. (2) XRD patterns of Co₃O₄ samples prepared using different gas mixing ratios

According to Scherrer's formula, the average crystallite size (D) of the Co_3O_4 sample is estimated from the XRD pattern as [31]:

$$D = \frac{K\lambda}{\beta \cos \theta} \quad (1)$$

where λ is the x-ray source wavelength (1.54\AA), β is the FWHM, K is a dimensionless shape factor with value of 0.9, and θ is the diffraction angle of incident radiation

The (311) diffraction peak of Co_3O_4 sample prepared using (60:40) mixing ratio shows peak width larger than others, indicating that the crystalline size of such Co_3O_4 sample is smaller than the Co_3O_4 samples prepared using other gas mixing ratios. The calculated crystallite size is found to be 16.00, 18.76, 23.32 and 33.05 nm for samples prepared using mixing ratios of (60:40), (70:30), (80:20) and (90:10), respectively. In dc sputtering deposition, the power has an important role to control the structure of the prepared cobalt oxide sample [32], and as mentioned in the experimental part, we have used low deposition power (14W). This structure of cobalt oxide is promising anodically coloring electrochromic material, thus, it can be used as a counter electrode in the electrochromic device.

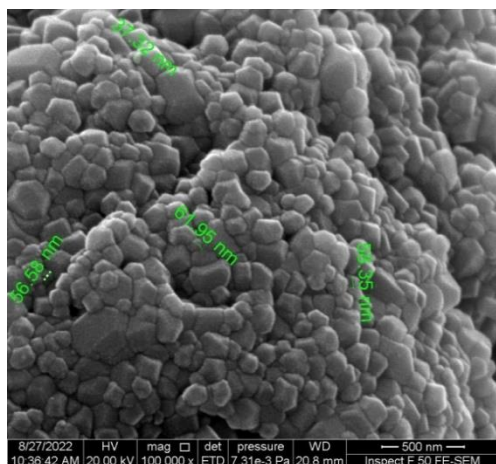
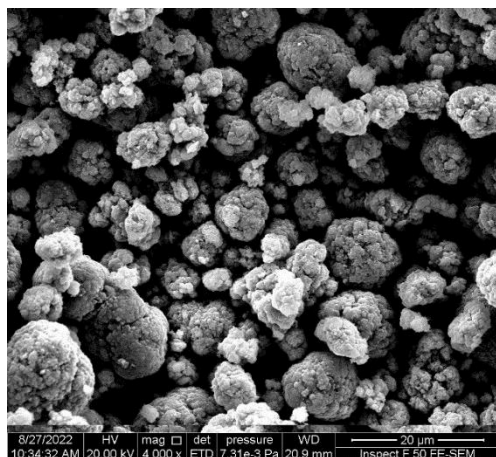


Fig. (3) FE-SEM images of Co_3O_4 nanostructures prepared using gas mixing ratio of (60:40)

The nanoparticle size of Co_3O_4 samples was studied by field-emission scanning electron microscopy (FE-SEM), as shown in Fig. (3), with different magnifications. The image with scale of 500 nm shows the spherical shape of nanoparticles, with minimum particle size of 37.32 nm, and the aggregated particles indicating a good connectivity between these nanoparticles.

The nanoparticles can form complex assemblies referred as aggregates, which typically consist of particles in the nanoscale (5-50) nm and are held together by weaker forces arising from van der Waals and electrostatics effects. Ambient humidity plays an important role in determining the fundamental mechanical response and dynamics of the assemblies [33]. The FE-SEM image indicates that the prepared nanoparticles are uniformly distributed. This type of morphology is beneficial to use cobalt oxide as a counter electrode (ion storage layer) for EC device and supercapacitor application [34].

The elemental composition of the prepared Co_3O_4 nanostructures was evaluated by the EDX analysis. According to Fig. (4), the major peaks are for the Co and O forming the cobalt oxide sample, and the minor peak attributed to the C, which results from handling the sample inside the instrument. The elemental composition of the cobalt oxide nanostructures shows 72.3% of cobalt, 19.9% of oxygen corresponding to the structural phase of Co_3O_4 and 7.8% of carbon. Using sputtering technique, the formation of nanostructures with required composition can be controlled by controlling the gas mixing ratio. Also, many metal oxides can be prepared by sputtering technique with good control of their compositions.

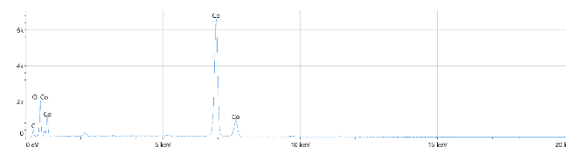


Fig. (4) EDX spectrum of cobalt oxide (Co_3O_4) prepared using gas mixing ratio of (60:40)

Figure (5) shows the FTIR spectrum of the sample prepared at inter-electrode distance of 4 cm, deposition time of 1 hour, and gas mixing ratio of (60:40). This spectrum was recorded in the range from 400 to 4000 cm^{-1} . Two strong peaks were observed; the first at 572.82 cm^{-1} was assigned to Co-O stretching vibration mode, in which Co^{+3} is octahedrally coordinated, and the second peak at 663.47 cm^{-1} was assigned to bridging vibration, in which Co^{+2} is tetrahedrally coordinated [35]. This further confirms the formation of Co_3O_4 . The peaks at 1571.88 and 3436.91 cm^{-1} are ascribed to the OH stretching and banding modes of water adsorbed by the sample. The peaks at 2408.93 and 1423.37 cm^{-1} are characteristic of asymmetric vibrations of CO_2 and CO^{-2} which were also adsorbed from the air [36].

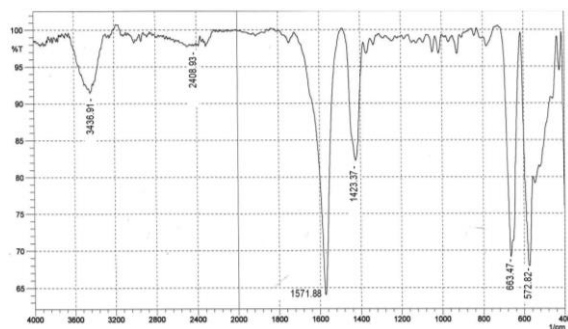


Fig. (5) FTIR spectrum of Co_3O_4 sample prepared using gas mixing ratio of (60:40)

Figure (6) shows the absorption spectra of the prepared Co_3O_4 thin film samples recorded by a UV-visible spectrophotometer within the spectral range of 400-700 nm while the measurement was carried out within 200-800 nm. The prepared thin films did not show spectral activity at wavelengths shorter than 400 nm and longer than 700 nm. The increase of absorbance is proportional to the increase of oxygen content in the gas mixture. This is attributed to the formation of more Co_3O_4 nanoparticles. Also, due to increasing oxygen content in the gas mixture, the measurements show very slight blue shift in the absorption edge towards shorter wavelengths [37].

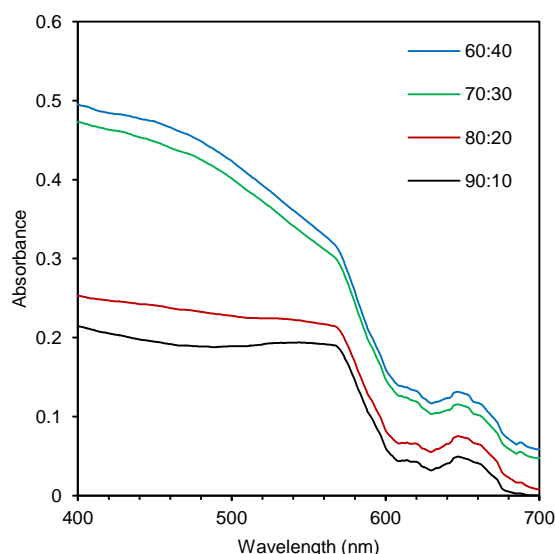


Fig. (6) Absorption spectra of cobalt oxide thin films prepared using different gas mixing ratios

The relationship between the photon energy and absorption coefficient can be used to determine the energy gap applying the Tauc's equation [38]:

$$(\alpha h\nu) = A(h\nu - E_g)^n \quad (2)$$
 where A is a constant, E_g is the band gap energy and n is a constant, taking values of $1/2$ or 2 for indirect and direct allowed transitions, respectively

Figure (7) shows the determination of energy band gap for cobalt oxide thin films prepared using gas mixing ratios of (90:10), (80:20), (70:30) and (60:40) to be 2.01, 2.03, 2.05 and 2.06 eV,

respectively. This result is in agreement with the range of 1.48-2.19 eV for the Co_3O_4 [39].

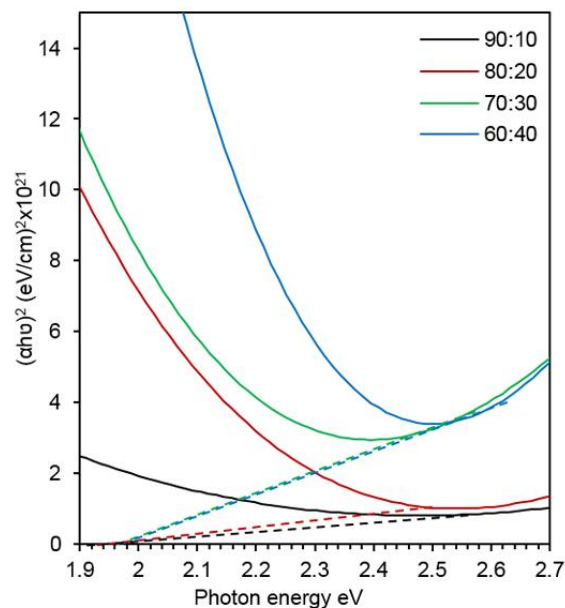


Fig. (7) Determination of energy band gap for cobalt oxide samples prepared using different gas mixing ratios

4. Conclusions

In conclusions, high quality and high homogeneity cobalt oxide (Co_3O_4) nanostructures were successfully prepared using dc reactive magnetron sputtering technique. As a sputtering parameter, working/reactive (Ar/O_2) gas mixing ratio plays a key role for controlling the structural characteristics of such oxide. This structure of cobalt oxide (Co_3O_4) can be used as ion-storage layer in electrochromic or photoelectrochromic applications, due to its structural and optical properties, such as uniform distribution of nanoparticles, particle size, absorbance and high transmittance.

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